



# A multivariable Alexander polynomial for framed trivalent spatial graphs

(Zhongtao Wu氏との共同研究に基づく)

Yuanyuan Bao

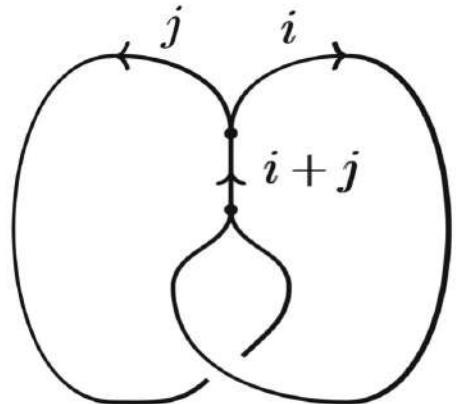
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## §0 Overview

Previous joint work w/ Zhongtao Wu

$(D, c)$ :



$D$ : oriented trivalent graph w/o sources or sinks

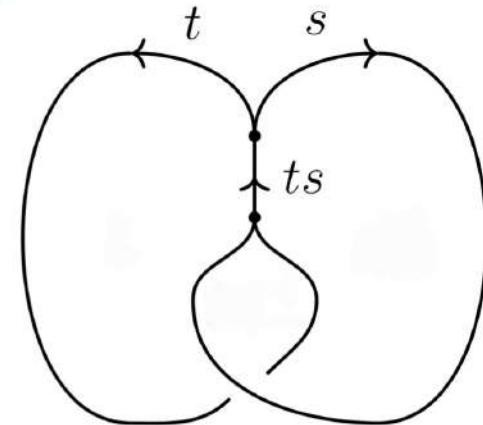
$c : \text{edges} \rightarrow \mathbb{N}$

For  $(D, c)$  we call MOT graph

- Defined state sum  $\langle D, c \rangle$
- Using rotation number of  $(D, c)$ , we get normalization  $\Delta_{(D, c)}(t) \in \mathbb{Q}(t^{\frac{1}{2}}, t^{-\frac{1}{2}})$ , an inv. for framed MOT graphs.

Today:

$D$ :



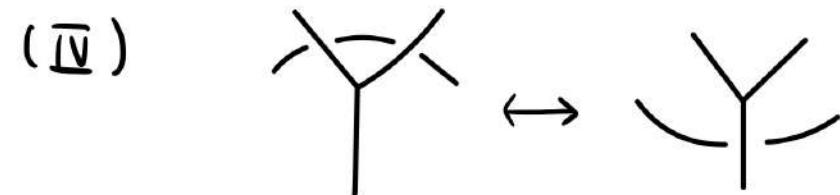
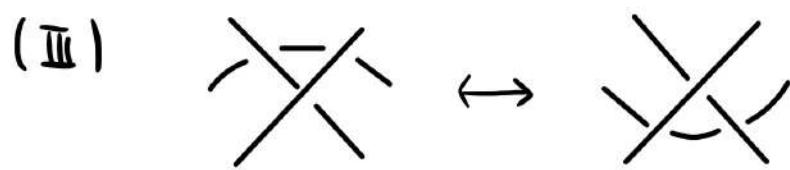
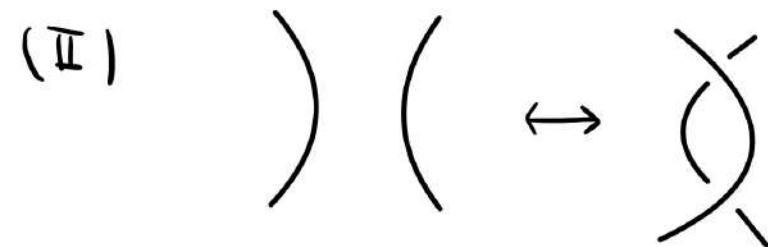
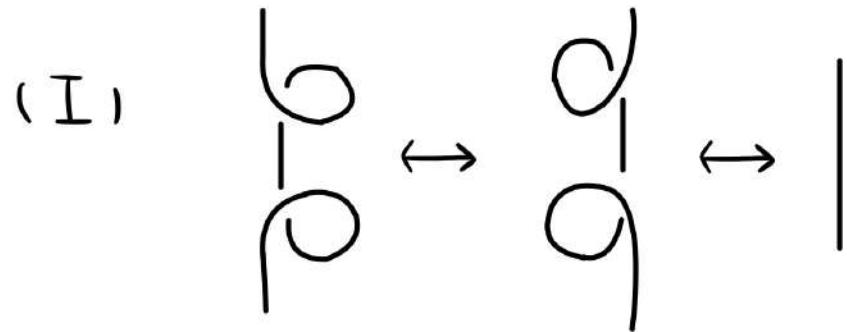
$t, s \in H_1(S^3 \setminus G; \mathbb{Z})$ : homology classes of the oriented meridians of edges

§1 1) Define rotation number of  $D \in H_1(S^3 \setminus G; \mathbb{Z})$

§2 2) Define  $\langle D \rangle \in \mathbb{Q}(t_1^{\frac{1}{2}}, \dots, t_n^{\frac{1}{2}})$   
a generating set of  $H_1(S^3 \setminus G; \mathbb{Z})$

§3 3) normalization  $\Delta_D \in \mathbb{Q}(t_1^{\frac{1}{2}}, \dots, t_n^{\frac{1}{2}})$

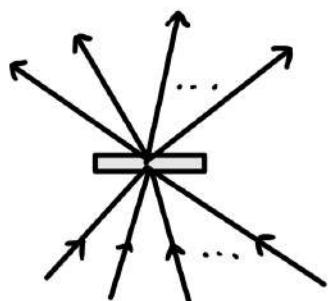
Reidemeister moves for framed trivalent spatial graphs



Theorem : Two graph diagrams represents the same framed spatial graph iff they are connected by a finite sequence of moves of (I) ~ (IV).

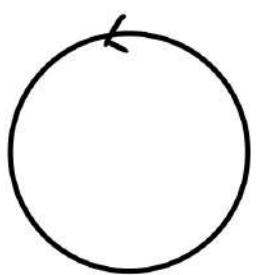
Remark:

- State sum  $\langle D \rangle$  comes from Fox derivative,  
well-defined as an invariant of spatial graphs  
up to  $\pm t_1^{k_1/2} t_2^{k_2/2} \dots t_n^{k_n/2}$ .
- The normalization  $\Delta_D$  makes  $\langle D \rangle$  stronger by eliminating  
the ambiguity, and it is possible to consider skein-like  
relations about  $\Delta_D$
- The definition of  $\Delta_D$  can be extended to any  
ribbon graph.

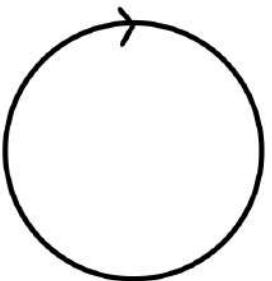


# §1 Rotation number of a graph

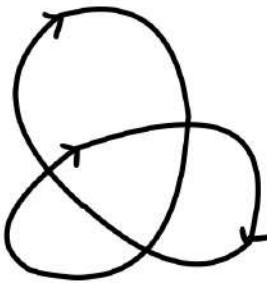
$D$ : Oriented plane curve



$$w(D) = 1$$



$$-1$$



$$-2$$

rotation number or  
Whitney index

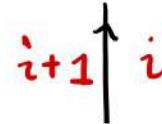
Theorem (Wesenberg, arXiv: 2010.01422)

$$w(D) = \sum_{r: \text{region}} w(r) - \sum_{c: \text{crossing}} w(c)$$

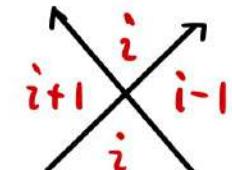
$w(r)$  is defined by

- $w(r) = 0$  if  $r$  is unbounded

- 

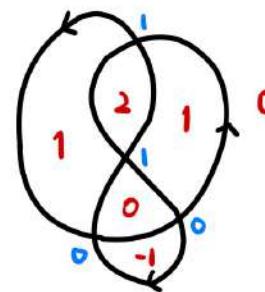


$w(c)$  is defined by

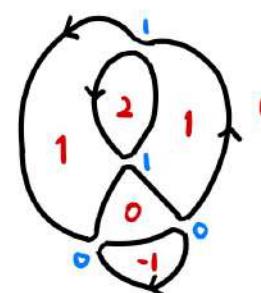


$$w(c) = i$$

E.g.



$$\sum_r w(r) = 1 + 2 + 1 + (-1) = 3$$



$$\sum_c w(c) = 1 + 1 = 2$$

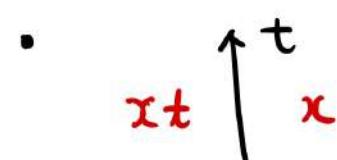
$$\therefore w(D) = 3 - 2 = 1$$

$D$ : connected graph diagram

$r$ : a component of  $\mathbb{R}^2 \setminus D$

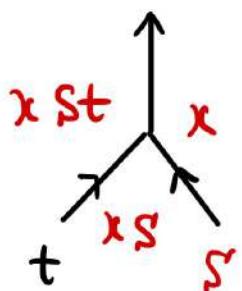
Define the color  $c(r) \in H_1(S^3 \setminus G)$  by

- $c(r) = 1$  for unbounded  $r$ .

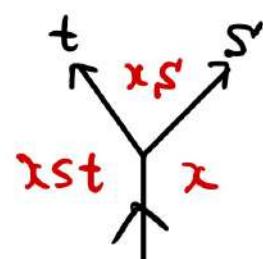


$v$ : a vertex/crossing of  $D$

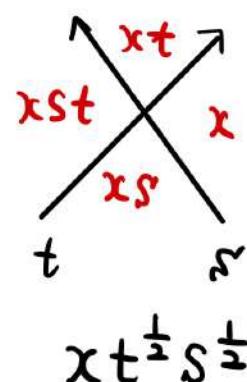
Define the color  $c(v)$  by



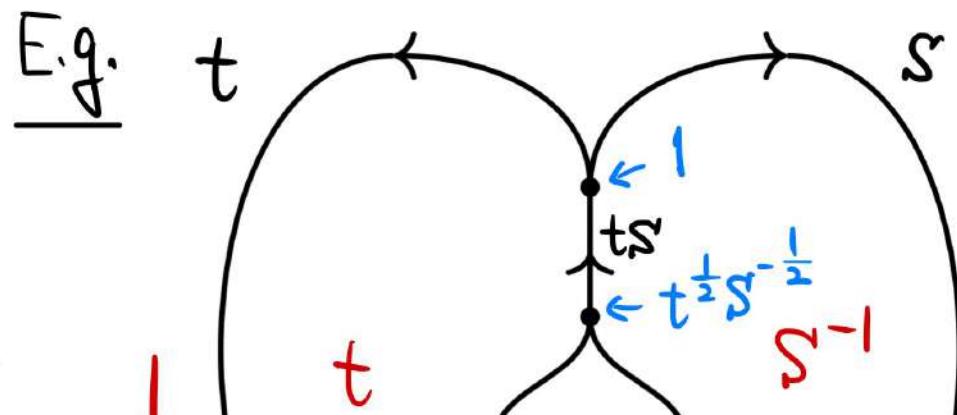
$$c(v) = x^{\frac{1}{2}} s^{\frac{1}{2}}$$



$$x^{\frac{1}{2}} s^{\frac{1}{2}}$$



$$x t^{\frac{1}{2}} s^{\frac{1}{2}}$$



$$\text{Rot}(D) = \frac{ts^{-1} \cdot ts^{-1}}{t^{1/2}s^{-1/2}t^{1/2}s^{-1/2}} = ts^{-1}$$

Definition (B-Wu '24)

$$\text{Rot}(D) = \prod_r c(r) / \prod_v c(v)$$

is called the rotation number  
of  $D \in H_1(S^3 \setminus G)$

## Remark :

- $D$  is a plane curve

$$\text{Rot}(D) = t^{w(D)}$$

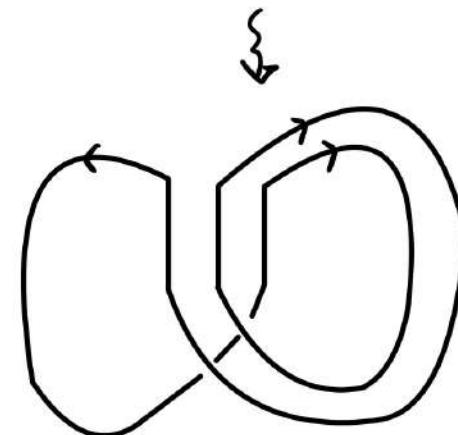
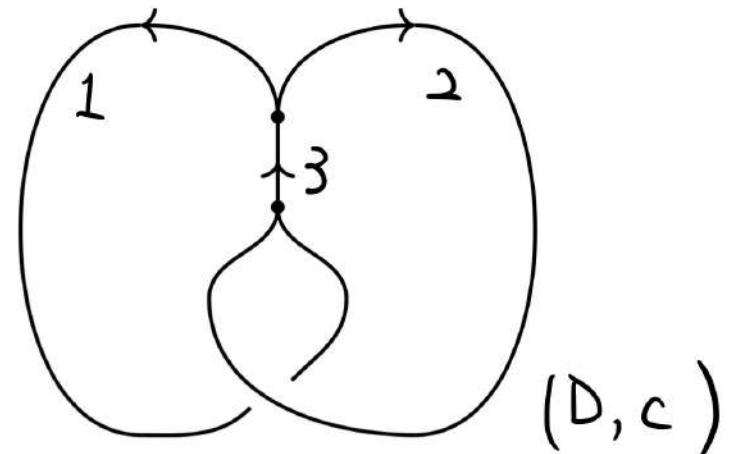
- $(D, c)$  is an M0Y graph

$$\varphi_c : H_1(S^3 \setminus G) \rightarrow \mathbb{Z}$$

$$\underbrace{[m_e]}_{\text{meridian of edge } e} \mapsto c(e)$$

meridian of edge  $e$

E.g



$L(D, c)$

Then

$$\varphi_c(\text{Rot}(G)) = w(L(D, c))$$

$$\begin{aligned}\varphi_c(\text{Rot}(G)) &= \varphi_c(+S^-) \\ &= 1 - 2 = -1\end{aligned}$$

## Properties of Rot(D)

1)  $\text{Rot}(\textcirclearrowleft^t) = \text{Rot}(\textcirclearrowright^t) = t \text{Rot}(\uparrow^t)$

$$\text{Rot}(\textcirclearrowleft^t) = \text{Rot}(\textcirclearrowright^t) = t^{-1} \text{Rot}(\uparrow^t)$$

2)  $\text{Rot}(\ )(\ ) = \text{Rot}(\ )( )$

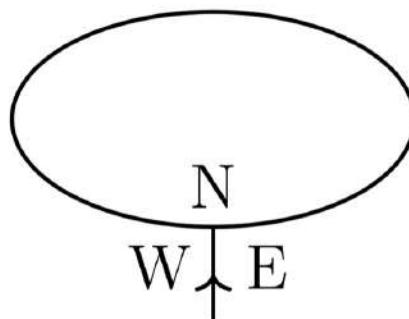
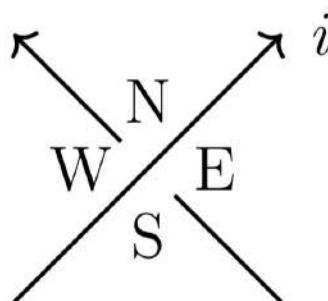
3)  $\text{Rot}(\cancel{-}) = \text{Rot}(\cancel{-})$

4)  $\text{Rot}(\cancel{-}) = \text{Rot}(\cancel{-})$

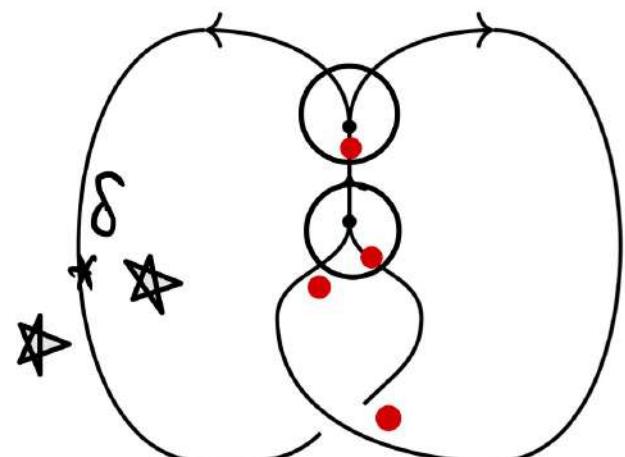
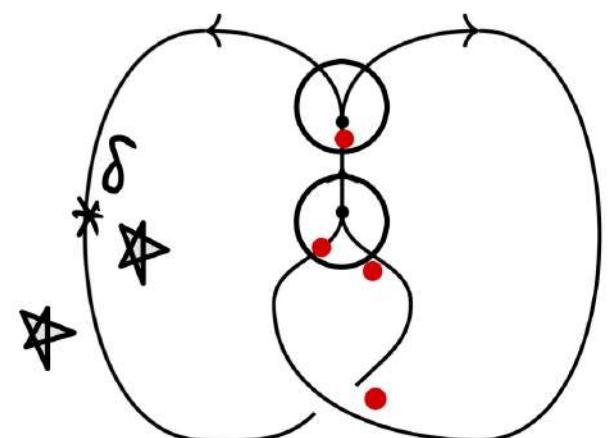
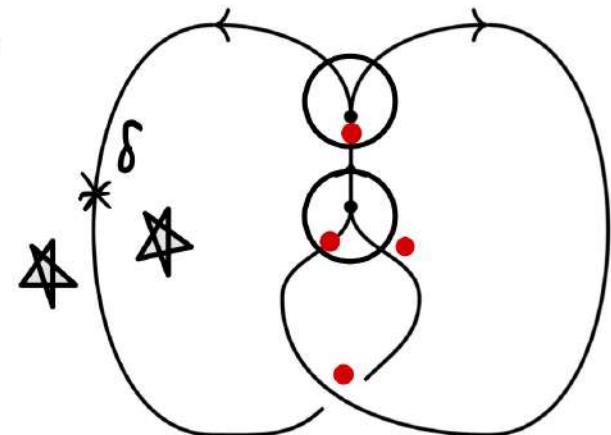
## §2 State sum $\langle D \rangle$

- choose a base point  $\delta$  and mark the adjacent regions by  $\star$
- Introduce a circle to each vertex

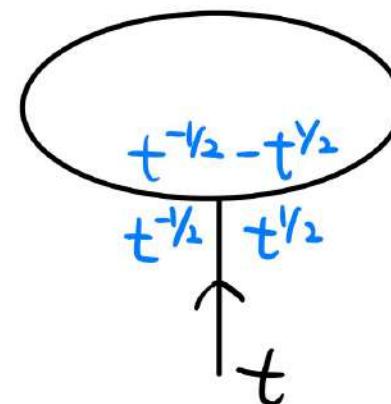
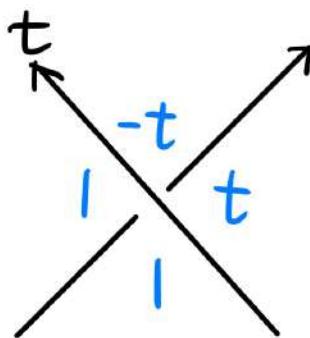
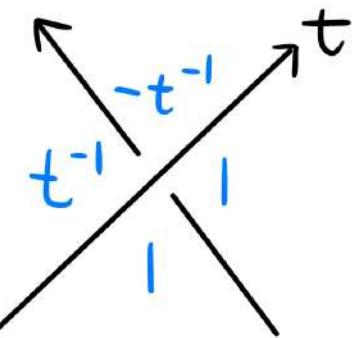
A state  $S$  is an assignment of  
an adjacent unmarked region or circle to  
a vertex / crossing



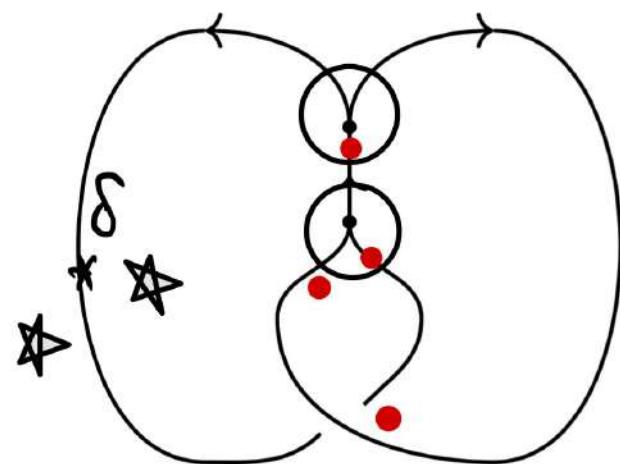
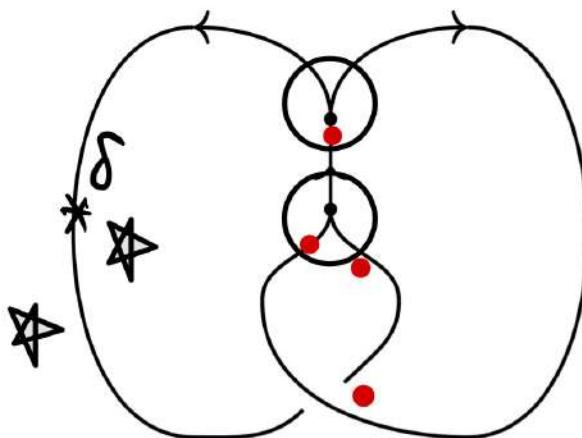
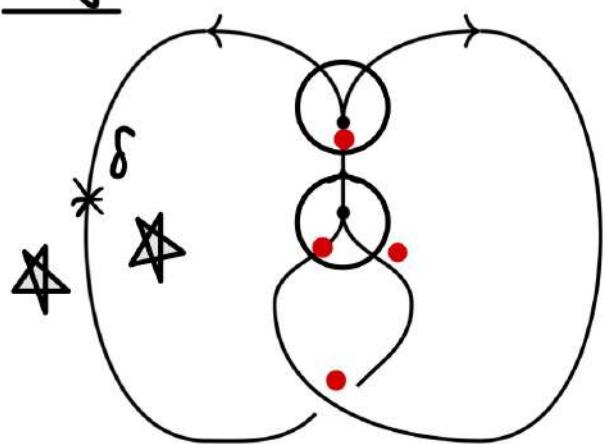
E.g.



# Contribution of each state



E.g.



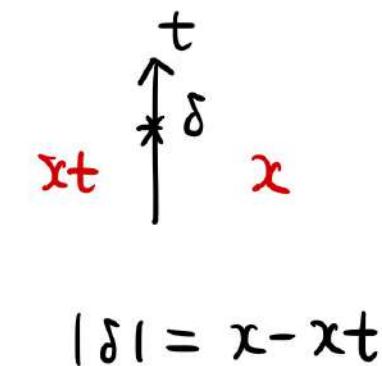
$$(t^{\gamma_2} s^{\gamma_2} - t^{\gamma_1} s^{\gamma_1}) (s^{\gamma_2} - s^{\gamma_1}) + t^{\gamma_1} (-s^{\gamma_1}) \\ = -(1-s)(1-ts')$$

$$(t^{\gamma_2} s^{\gamma_2} - t^{\gamma_1} s^{\gamma_1}) (s^{\gamma_2} - s^{\gamma_1}) \cdot t^{\gamma_2} s^{\gamma_2} \\ = t^{-1} (1-ts') (1-s)$$

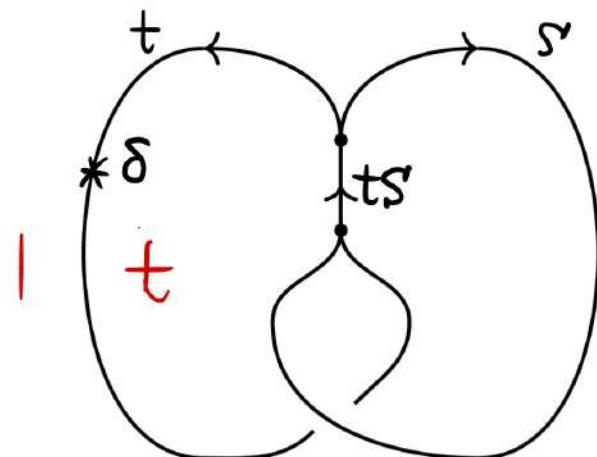
$$(t^{\gamma_2} s^{\gamma_2} - t^{\gamma_1} s^{\gamma_1}) (t^{\gamma_2} - t^{\gamma_1}) s^{\gamma_2} s^{\gamma_1} \\ = st^{-1}(1-t)(1-ts')$$

Definition (B-Wu '24)

$$\langle D \rangle = \frac{\sum_{S: \text{ state}} \text{contribution of } S}{|\delta|} \in \mathbb{Q}(t_1^{\gamma_1}, t_2^{\gamma_2}, \dots, t_n^{\gamma_n})$$



E.g.



$$|\delta| = 1 - t$$

$$\begin{aligned}\langle D \rangle &= \frac{-(1-s)(1-ts) + t^{-1}(1-ts)(1-s) + st^{-1}(1-t)(1-ts)}{1-t} \\ &= \frac{(1-ts)(t^{-1}-1)}{1-t} = t^{-1}(1-ts)\end{aligned}$$

## Properties of $\langle D \rangle$

- $\langle D \rangle$  does not depend on the choice of  $\delta$
- $\langle D \rangle$  is invariant under Reid. moves (II)~(IV)
- $t \left\langle \begin{array}{c} \nearrow \\ \nwarrow \end{array} \right\rangle^t = \left\langle \begin{array}{c} \nearrow \\ \nwarrow \end{array} \right\rangle = \left\langle \begin{array}{c} \nearrow \\ \searrow \end{array} \right\rangle^t = t^{-1} \left\langle \begin{array}{c} \nearrow \\ \nwarrow \end{array} \right\rangle = \left\langle \begin{array}{c} \uparrow \\ \uparrow \end{array} \right\rangle.$

Corollary -

$$\left\langle \begin{array}{c} \nearrow \\ | \\ \nwarrow \end{array} \right\rangle^t = t \left\langle \begin{array}{c} \uparrow \\ \uparrow \end{array} \right\rangle, \quad \left\langle \begin{array}{c} \nearrow \\ | \\ \searrow \end{array} \right\rangle^t = t^{-1} \left\langle \begin{array}{c} \uparrow \\ \uparrow \end{array} \right\rangle$$

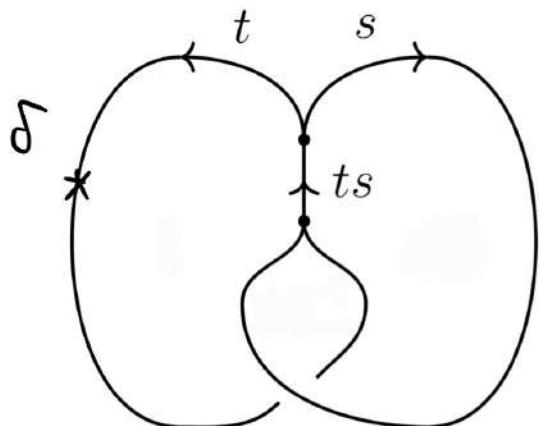
## §3 Normalization

Theorem & Definition (B-Wu '24)

$$\Delta_G = \text{Rot}(G)^{1/2} \langle D \rangle$$

is invariant under Reid. moves (I)  $\sim$  (II), and thus is an invariant of a framed trivalent graph.

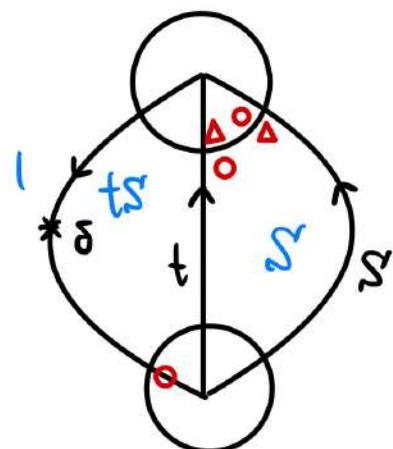
E.g.



$$\begin{aligned}\Delta_G &= (ts^{-1})^{1/2} \cdot t^{-1}(1-ts) \\ &= (ts')^{-\frac{1}{2}}(1-ts') \\ &= (ts)^{-\frac{1}{2}} - (ts)^{\frac{1}{2}}\end{aligned}$$

Example :

(1) Trivial  $\theta$ -curve

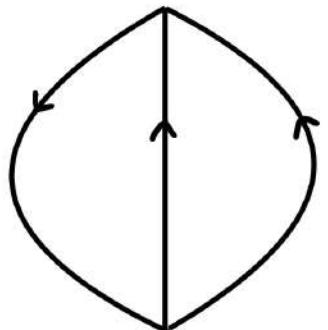


$$\langle D \rangle = \frac{[(ts)^{\frac{1}{2}} - (ts)^{\frac{1}{2}}]^2}{1-ts} = ts^{-1}(1-ts)$$

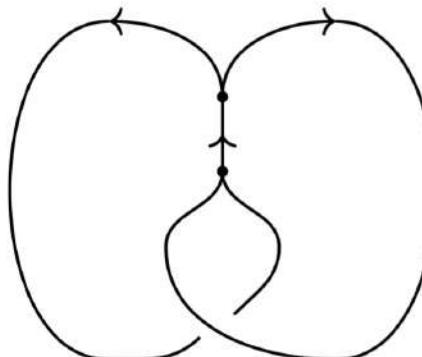
$$\text{Rot}(D) = ts$$

$$\Delta_G = t^{-\frac{1}{2}} s^{-\frac{1}{2}} (1-ts) = (ts)^{\frac{1}{2}} - (ts)^{\frac{1}{2}}$$

∴

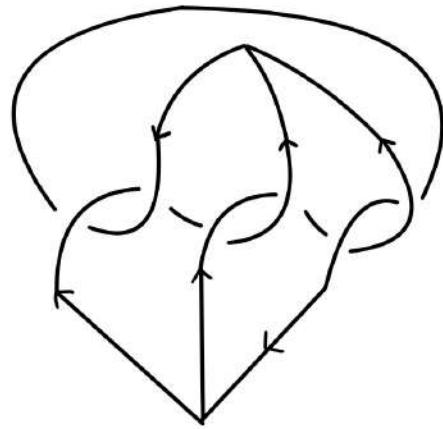


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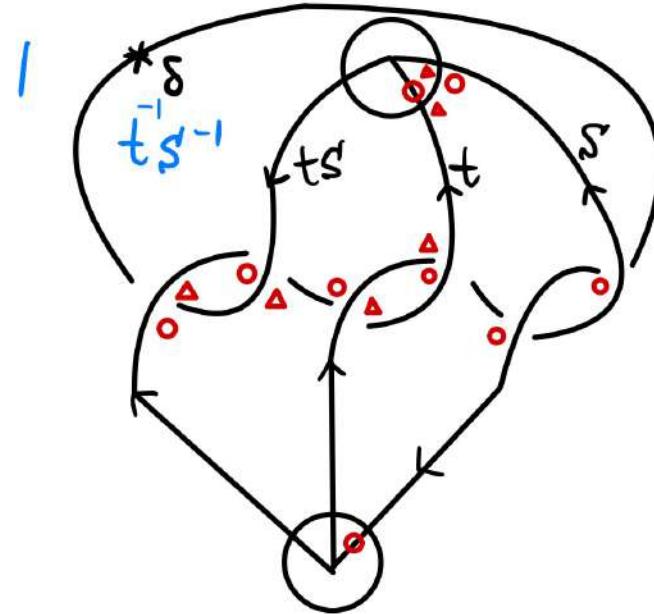


have the same  
 $\Delta_G$ .

(2)



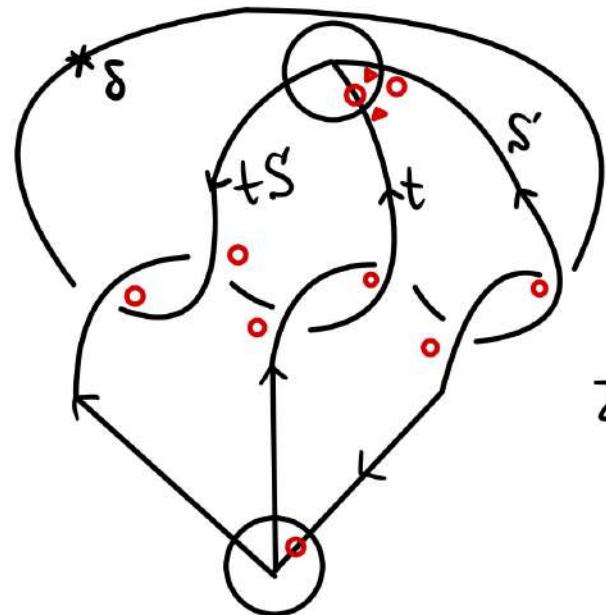
Suzuki's  $\theta$ -curve



$$|\delta| = t^{-1}s^{-1} - 1$$

8 states, whose contribution is

$$\left[ (ts)^{-\frac{1}{2}} - (ts)^{\frac{1}{2}} \right]^2 (1-s) [1 - (ts)^{-1}] s^{-1}$$



2 states, whose contribution is

$$\left[ (ts)^{-\frac{1}{2}} - (ts)^{\frac{1}{2}} \right]^2 s^{-1} \cdot 1 \cdot (ts)^{-1}$$

$$\langle D \rangle = \frac{[(ts)^{-\frac{1}{2}} - (ts)^{\frac{1}{2}}]^2 s^{-1} (1-s+t^{-1})}{t^{-1}s^{-1}-1}$$

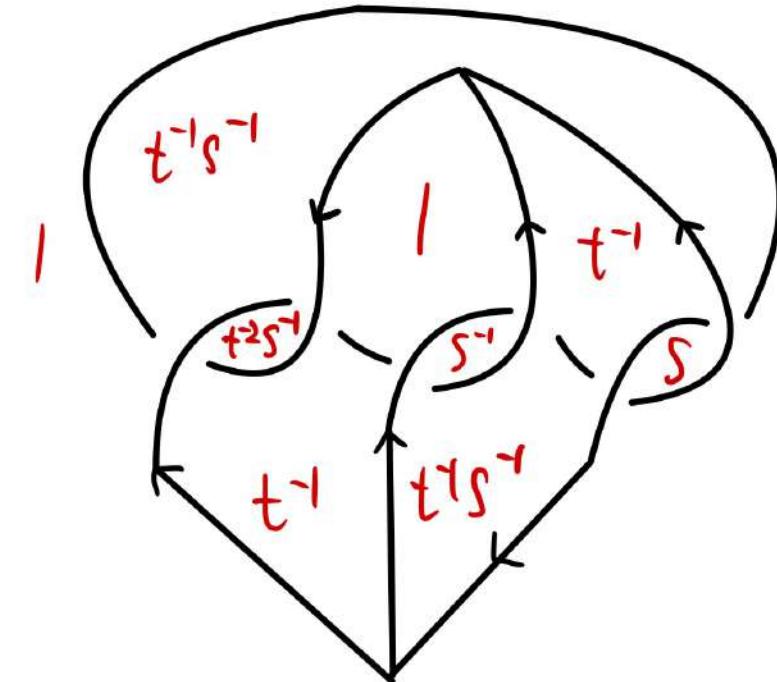
$$= (t^{-1}s^{-1}-1)t(1-s+t^{-1})$$

$$\text{Rot}(D) = \frac{t^{-6}s^{-1}}{t^{-1}(ts)^{-1}t^{-2}s^{-1}t^{-1}}$$

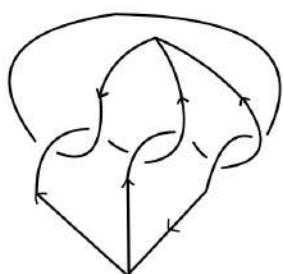
$$= t^{-1}s$$

$$\therefore \Delta_D = t^{-\frac{1}{2}}s^{\frac{1}{2}}(t^{-1}s^{-1}-1)t(1-s+t^{-1})$$

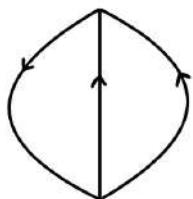
$$= (t^{-\frac{1}{2}}s^{-\frac{1}{2}} - t^{\frac{1}{2}}s^{\frac{1}{2}})(1-s+t^{-1})$$



∴



≠



as spatial graphs.

## Remark :

- If  $D$  is a link, one can use writhe of  $D$  to modify  $\Delta_D$  to get an link inv, which coincides with Conway function of  $D$

- For an MOY graph  $(D, c)$ ,

$$\varphi_c(\Delta_D) = \Delta_{(D, c)}(t)$$

- Conjecture :  $\Delta_D$  coincides with Viro's  $gl(1|1)$  - Alexander polynomial.
- Viro's definition exists for graphs with sources and sinks.