

Right-left equivalent maps of simplified $(2, 0)$ -trisections with different configurations of vanishing cycles

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結び目の数理 IV
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fold/cusp singularities

X : a closed oriented connected smooth 4-manifold,

$f : X \rightarrow \mathbf{R}^2$: smooth map and $\mathbf{p} \in \text{Sing}(f)$,

- \mathbf{p} is an indefinite fold singularity

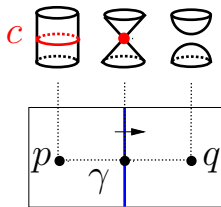
$\Leftrightarrow \exists$ local coord. (t, x, y, z) around \mathbf{p} s.t. $f(t, x, y, z) = (t, -x^2 - y^2 + z^2)$.

- \mathbf{p} is a definite fold singularity

$\Leftrightarrow \exists$ local coord. (t, x, y, z) around \mathbf{p} s.t. $f(t, x, y, z) = (t, -x^2 - y^2 - z^2)$.

- \mathbf{p} is a cusp singularity

$\Leftrightarrow \exists$ local coord. (t, x, y, z) around \mathbf{p} s.t. $f(t, x, y, z) = (t, x^3 - 3xt + y^2 - z^2)$.



indefinite fold

- c is called a vanishing cycle associated with γ .
- γ is called a reference path.

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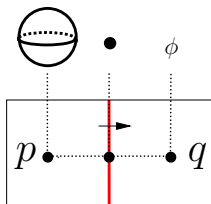
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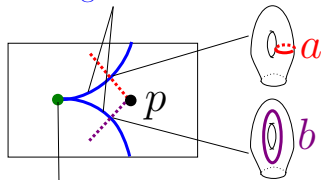
definite fold

fold/cusp singularities

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the images of indefinite fold

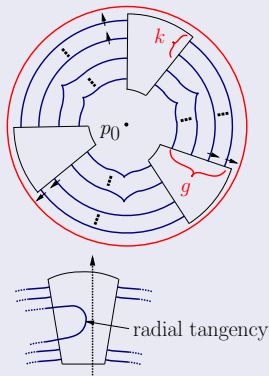


the image of cusp

- the vanishing cycles a and b intersect at one point transversely.

(g, k) -trisection mappings [Gay-Kirby, 2016]

a smooth mapping $f : X \rightarrow \mathbf{R}^2$ is a (g, k) -trisection map. ($g \geq k \geq 0$)
 $\Leftrightarrow f(\text{Sing}(f))$ is as in the figure.



- the most outer red circle \dots definite fold singular value.
- the solid curves \dots indefinite fold singular value.
- the cusped points \dots cusp singular value.
- three white boxes \dots consist of indefinite fold images with transverse double points but without "radial tangencies".
- $f^{-1}(p_0)$ is a closed oriented surface of **genus g** .

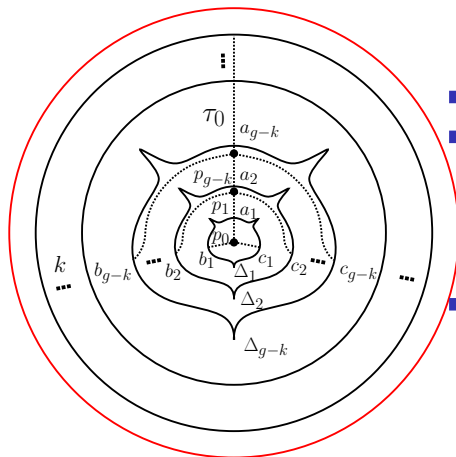
Theorem (Gay-Kirby, 2016)

For any 4-manifolds X , there is a (g, k) -trisection map.

Simplified (g, k) -trisection mappings [Baykur-Saeki, 2017]

$f : X \rightarrow \mathbf{R}^2$: a (g, k) -trisection map is simplified.

$\Leftrightarrow f(\text{Sing}(f))$ is as in the figure.



- $\tau_0 \cdots$ a standard reference path.
- τ_0 induces $3(g - k) + k$ times vanishing cycles on central fiber Σ :
 $(\Sigma, \{a_1, a_2, \dots, a_g\}, \{b_1, b_2, \dots, b_{g-k}\}, \{c_1, c_2, \dots, c_{g-k}\})$.
- the tuple of Σ and these vanishing cycles is called simplified (g, k) -trisection diagram.

$f, g : M \rightarrow N$: smooth map

right-left equivalent

$f \simeq g$ (right-left equivalent)

$\Leftrightarrow \exists \phi : M \rightarrow M, \exists \psi : N \rightarrow N$: self-diffeomorphisms such that the following diagram is commutative.

$$\begin{array}{ccc}
 M & \xrightarrow{\phi} & M \\
 f \downarrow & & \downarrow g \\
 N & \xrightarrow{\psi} & N
 \end{array}$$

stable map

f is a stable map \Leftrightarrow any map g in a small neighborhood of f in the space of smooth maps is right-left equivalent to f .

Roughly speaking, f does not change in a neighborhood up to diffeomorphism.

Question

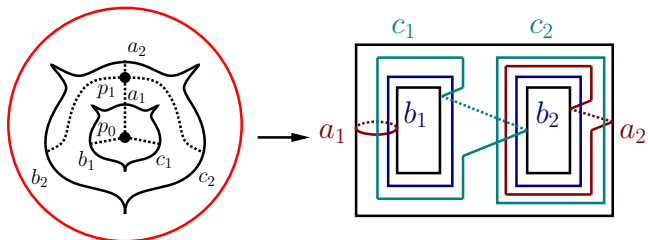
Question.

{ right-left eq. classes of sim. $(2,0)$ -tri. } $\xleftrightarrow{1:1?}$ { sim. $(2,0)$ -tri. diag. }

Recall that vanishing cycles are obtained as follows:

1. Fix a reference path.
2. Draw vanishing cycles on $f^{-1}(p_0)$ according to the reference path.

Note that vanishing cycles are labeled by a_1, b_1, c_1, a_2, b_2 and c_2



upper-triangular handle-slide

Question.

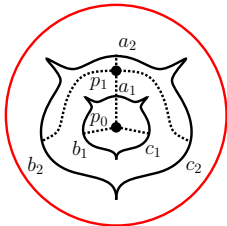
Find an equivalence relation \sim s. t.

$\{\text{right-left eq. classes of sim. } (2, 0)\text{-tri.}\} \xleftrightarrow{1:1} \{\text{sim. } (2, 0)\text{-tri. diag.}\} / \sim$

$(\Sigma, \{a_1, a_2\}, \{b_1, b_2\}, \{c_1, c_2\}) \sim (\Sigma', \{a'_1, a'_2\}, \{b'_1, b'_2\}, \{c'_1, c'_2\}) :=$

\exists a finite seq. of :

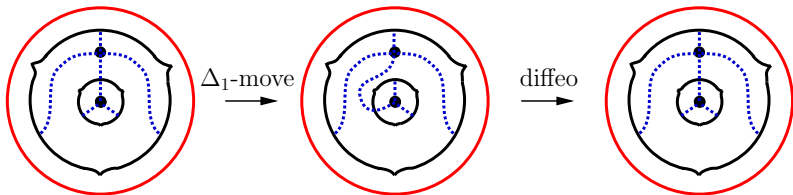
- automorphisms of Σ with labels,
- upper-triangular handle-slide,
- replacing reference paths.



- upper-triangular handle-slide ...
handle-slide $\{a_{i+1}, b_{i+1}, c_{i+1}\}$ over
 a_1, a_2, \dots, a_i ($i = 1, 2, \dots, g - k$)

Replacing reference paths

Let f be a simplified (2,0)-trisection.



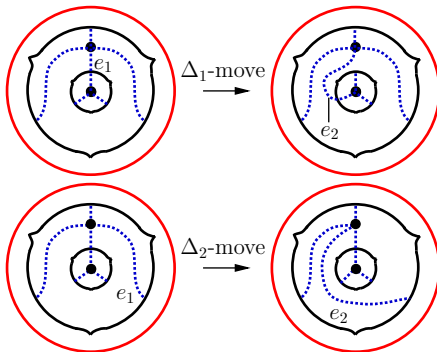
- replacing reference paths does **NOT change** right-left equivalence class.
- the configurations of simplified trisection diagrams **change**.

$$\begin{array}{ccc}
 X & \xrightarrow{\phi} & X \\
 f \downarrow & & \downarrow g \\
 \mathbf{R}^2 & \xrightarrow{\psi} & \mathbf{R}^2
 \end{array}$$

- $f \simeq g \Leftrightarrow$ The left diagram is commutative.
- reference path is changed by $\psi : \mathbf{R}^2 \rightarrow \mathbf{R}^2 : \text{homeo.}$

Prop. (A. 2021)

\forall replacing reference path is obtained by following moves.



Question.

Find an equivalence relation \sim s. t.

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$(\Sigma, \{a_1, a_2\}, \{b_1, b_2\}, \{c_1, c_2\}) \sim (\Sigma', \{a'_1, a'_2\}, \{b'_1, b'_2\}, \{c'_1, c'_2\}) :=$

\exists a finite seq. of :

- automorphisms of Σ with labels,
- upper-triangular handle-slide,
- replacing reference paths.

Lemma(A. 2021)

$f, g : \text{sim. } (2,0)\text{-tri.},$

$(\Sigma, \{a_1, a_2\}, \{b_1, b_2\}, \{c_1, c_2\}), (\Sigma', \{a'_1, a'_2\}, \{b'_1, b'_2\}, \{c'_1, c'_2\})$

: sim. $(2,0)$ -tri. diagrams

$f \simeq g \Rightarrow (\Sigma, \{a_1, a_2\}, \{b_1, b_2\}, \{c_1, c_2\}) \sim (\Sigma', \{a'_1, a'_2\}, \{b'_1, b'_2\}, \{c'_1, c'_2\}).$

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Find an equivalence relation \sim s. t.

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\exists a finite seq. of :

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Question

Is it necessary to replace reference paths?

Main Theorem

Theorem. (A. , 2021)

$f : X \rightarrow \mathbf{R}^2$ be a simplified $(2, 0)$ -trisection. Suppose that the following holds:

- The monodromy μ_1 is not the identity map.
- b'_2 and c'_2 are not parallel.
- a'_2 and $\mu_1^{-1}(c'_2)$ are not parallel.

$\Rightarrow \exists f', f'' : \text{sim. } (2, 0)\text{-tri. s.t. } f \simeq f' \simeq f''$ but their sim. tri. diag. are **NOT related** by

- automorphisms of Σ and
- upper-triangular handle-slides over a_1 .

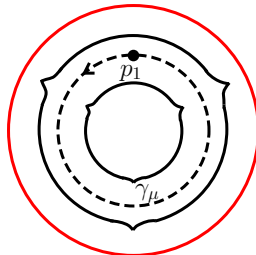
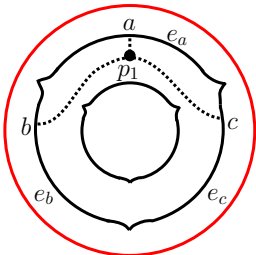
Main Theorem

- The monodromy μ_1 is not the identity map.

$p_1 \in \mathbf{R}^2, f^{-1}(p_1) \simeq$ a torus T^2

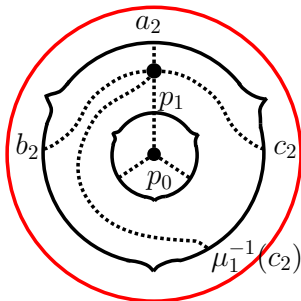
γ_μ : the circle as shown in the figure.

$\mu_1 : T^2 \rightarrow T^2$: monodromy associated with γ_μ .



Main Theorem

- b'_2 and c'_2 are not parallel.
- a'_2 and $\mu_1^{-1}(c'_2)$ are not parallel.



- The surface $f^{-1}(p_1)$ is obtained from the genus-2 surface $f^{-1}(p_0)$ on the right by surgerying it along a_1 , which is a torus.
- The a'_2, b'_2 and c'_2 are the vanishing cycles on the torus $f^{-1}(p_1)$ corresponding to a_2, b_2 and c_2 , respectively.

Main Theorem

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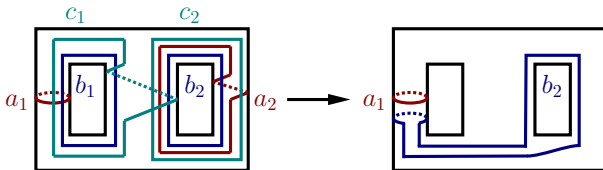
Proposition. (A., 2021)

The following matrix is an upper-triangular handle-slide (over a_1) invariant .

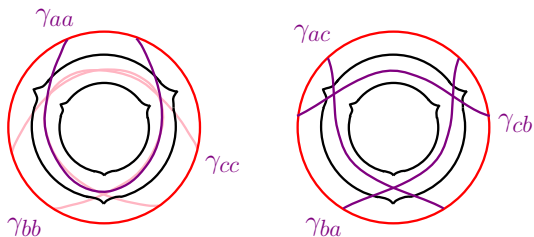
$$I(V) = \begin{pmatrix} |(b_1 + c_1) \cdot a_2| \\ |(b_1 + c_1) \cdot b_2| \\ |(b_1 + c_1) \cdot c_2| \end{pmatrix},$$

where $b_1 + c_1$ is the union of b_1 and c_1 on Σ .

slide b_2 over a_1



$$I(V) = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \quad I(V') = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$



Set $V_{ij} = f^{-1}(\gamma_{ij})$.

Theorem (A. 2019)

The non-trivial 6-tuple $\begin{pmatrix} V_{aa} & V_{bb} & V_{cc} \\ V_{ba} & V_{cb} & V_{ac} \end{pmatrix}$ is one of the following up to reflection:

$$\begin{pmatrix} S^3 & S^3 & L((q-1)^2, q-1+\varepsilon) \\ S^1 \times S^2 & L(q-2, \varepsilon) & L(q, -\varepsilon) \end{pmatrix}, \begin{pmatrix} S^3 & L(9, 2\varepsilon) & L(4, \varepsilon) \\ L(2, 1) & L(5, \varepsilon) & S^3 \end{pmatrix},$$

$$\begin{pmatrix} S^1 \times S^2 & S^3 & S^3 \\ S^3 & L(1+\varepsilon, 1) & S^3 \end{pmatrix}, \begin{pmatrix} S^1 \times S^2 & L(4, 1) & L(4, 1) \\ S^3 & L(4+\varepsilon, 1) & S^3 \end{pmatrix},$$

where $q \neq 1$ and $\varepsilon \in \{-1, 1\}$.

Sketch of proof

f : sim. $(2, 0)$ -tri. , V : sim. tri. diag. of f

f' : taking a Δ_2 -move for f

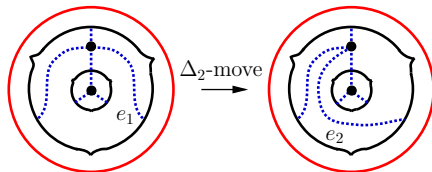
f'' : taking two times Δ_2 -move for f

V', V'' : sim. tri. diag of f', f'' .

Aim

$$I(V) \neq I(V') \neq I(V'').$$

We calculate $I(V)$ for each 6-tuple.



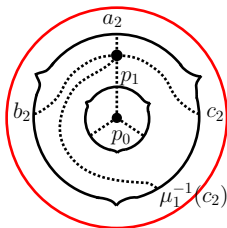
Remark

The Δ_2 -move does NOT change right-left eq. classes.

We calculate $I(V)$ for each 6-tuple.

$$(1) \begin{pmatrix} S^3 & S^3 & L((q-1)^2, q-1+\varepsilon) \\ S^1 \times S^2 & L(q-2, \varepsilon) & L(q, -\varepsilon) \end{pmatrix}$$

We can take vanishing cycles as follows :



$$[a'_2] = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad [b'_2] = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad [c'_2] = \begin{pmatrix} \pm q - 2 \\ \pm 1 \end{pmatrix},$$

where $q \in \mathbf{Z}$.

We have

$$I(V) = \begin{pmatrix} |(b_1 + c_1) \cdot a_2| \\ |(b_1 + c_1) \cdot b_2| \\ |(b_1 + c_1) \cdot c_2| \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ |1 \mp q| \end{pmatrix}, \quad I(V') = \begin{pmatrix} |(b_1 + c_1) \cdot b_2| \\ |(b_1 + c_1) \cdot c_2| \\ |(b_1 + c_1) \cdot a_2| \end{pmatrix} = \begin{pmatrix} 1 \\ |1 \mp q| \\ 1 \end{pmatrix}.$$

Thus we obtain $I(V) \neq I(V')$ except for the case $|1 \mp q| = 1$. These exceptional cases are excluded in the assertion.