## Combinatorics of intrinsic linking

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## **Theorem (Kuratowski 1929; Pontriagin '28, O.Frink-P.A.Smith '30)** A graph embeds in the plane iff it contains no subgraph homeomorphic to $K_5$ or $K_{3,3}$ .





## Remark (Wagner, 1937). An equivalent statement is: **Theorem (Kuratowski 1929; Pontriagin '28, O.Frink-P.A.Smith '30)** A graph embeds in the plane iff it contains no subgraph homeomorphic to $K_5$ or $K_{3,3}$ .





A *minor* of a graph G is a graph obtained from a subgraph of G by a sequence of edge contractions.



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(b) If two panelled embeddings of a graph in  $\mathbb{R}^3$  are inequivalent then they differ already on a subgraph, homeomorphic to  $K_5$  or  $K_{3,3}$ .

(c) A graph admits a linkless embedding in  $\mathbb{R}^3$  if and only if it has no <u>minor</u> among the seven graphs known as the **Petersen family**. 3 - 5



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A finite cell complex B is *dichotomial* if it is atomic, and for each cell A of B, there exists another (the "opposite") cell  $\overline{A}$  of B whose vertices are precisely all the vertices of B that are not in A.

**Example.** The boundary of a simplex is dichotomial.

In fact, every dichotomial *simplicial* complex is of this form.

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**Theorem 1.** Every dichotomial cell complex is PL homeomorphic to a sphere of some dimension.

There exist precisely two dichotomial 3-spheres; their 1-skeleta are  $K_5$  and  $K_{3,3}$ .

There exist precisely six dichotomial 4-spheres; their 1-skeleta are precisely the graphs of the Petersen family apart from  $K_{4,4} \setminus e$ .

**Example.**  $K_5$  is the 1-skeleton of  $\partial \Delta^4$ , and  $K_6$  is the 1-skeleton of  $\partial \Delta^5$ . 5 - 3



We start with the  $K_{3,3}$  and attach to it 2-cells and 3-cells

Opposite to each edge



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which we glue up by a 2-cell



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There are twelve 5-cycles.

All of them are glued up by 2-cells.





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**Theorem (Flores, 1933)** Every *m*-obstructor does not embed in  $\mathbb{R}^m$ . Proof: Uses the Borsuk–Ulam theorem (1932).

8 - 4

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**Corollary (van Kampen, 1932)** The *n*-skeleton  $F^n$  of  $\Delta^{2n+2}$ ("generalized  $K_5$ ") and the join  $F^0 * \cdots * F^0$  of n+1 copies of the 3-point set ("generalized  $K_{3,3}$ ") do not embed in  $\mathbb{R}^{2n}$ .

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Addendum (Grünbaum, 1969) More generally, each *n*-dimensional join of the type  $F^{n_1} * \cdots * F^{n_k}$  does not embed in  $\mathbb{R}^{2n}$ . But every its proper subcomplex embeds in  $\mathbb{R}^{2n}$  (explicitly).

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**Theorem (Sarkaria, 1991)** The only 2n-obstructors among simplicial complexes are n-dimensional joins of the type  $F^{n_1} * \cdots * F^{n_k}$ .

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**Theorem 3.** If K is an n-dimensional cell complex, TFAE: (i) K is linklessly embeddable in  $\mathbb{R}^{2n+1}$ ; (ii) there exists a  $\mathbb{Z}/2$ -map  $K \hat{\circledast} K \to S^{2n+1}$ . (iii) an odd-dimensional version of the van Kampen obstruction,  $e(\lambda)^{2n+2} \in H^{2n+2}(K \hat{\circledast} K/\mathbb{Z}_2)$ , vanishes. • K: cell complex

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**Theorem 4.** If K is the n-skeleton of a dichotomial (2n + 2)-sphere, then  $K \hat{*} K \cong S^{2n+2}$ . Consequently K does not linklessly embed in  $\mathbb{R}^{2n+1}$ , but all its proper subpolyhedra do.

**Example (Lovasz-Schrijver, Taniyama).** The *n*-skeleton of  $\Delta^{2n+3}$  does not linklessly embed in  $\mathbb{R}^{2n+1}$ .

11 - 5

• *n-circuit*: an *n*-polyhedron M such that  $H^n(M \setminus \{x\}) = 0$  for every  $x \in M \iff H^n(M)$  is cyclic).

• *n-circuit with boundary*: an *n*-polyhedron M along with an (n-1)-dimensional subpolyhedron  $\partial M$  such that  $M/\partial M$  is an *n*-circuit.

**Theorem 5.** Suppose that P is an n-polyhedron, and Q is an (n-1)-dimensional subpolyhedron of P such that the closure of every component of  $P \setminus Q$  is an n-circuit with boundary. In part (a), assume further that every pair of disjoint singular (n-1)-circuits in Q bounds disjoint singular n-circuits in P.

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Van der Holst (2006): (b), n = 1 and much of (a), n = 2

Robertson–Seymor–Thomas (1993) (b),  $\Rightarrow$ , n = 1

#### 12 - 2





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**Corollary.** If  $G \hookrightarrow \mathbb{R}^2$  and H is a minor of G, then  $H \hookrightarrow \mathbb{R}^2$ .

It is the definition of a minor via admissible contractions that will generalize to higher dimensions: admissible contraction  $\rightsquigarrow$  zipping. 13 - 6



# **DIGRESSION: COMBINATORICS**

# **OF COLLAPSING**

### Collapsing

Elementary collapse:



## Collapsing

A polyhedron P elementarily collapses onto a subpolyhedron Q if  $P = Q \cup B$ , where the pair  $(B, B \cap Q)$  is homeomorphic to  $(\Delta^n, \Delta^{n-1})$  for some n. Here  $\Delta^n$  is the *n*-simplex and  $\Delta^{n-1}$  is its facet.



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A *collapse* is a finite chain of elementary collapses.

A polyhedron is *collapsible* if it collapses onto a point.

15 - 3

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collapsible  $\Rightarrow$ contractible

 ${\it B}$  is homotopy equivalent to the 3-ball, i.e. contractible 16 – 5

### Combinatorial characterizations of collapsibility

A simplicial complex K elementarily simplicially collapses onto a subcomplex L if  $K = L \cup A$ , where A is a simplex of K and  $L \cap A = \partial A \setminus B$ , where B is a facet of A.

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- 1) In terms of zipping of posets
- 2) In terms of contructible posets

A simplicial complex, and more generally a cell complex can be reconstructed from, and so will be identified with, the poset of its *nonempty* faces.

#### Constructible posets

We call a poset P constructible if either P has a greatest element or  $P = Q \cup R$ , where Q and R are order ideals (that is, if  $p \leq q$  where  $q \in Q$  then  $p \in Q$ ; and similarly for R), each of Q, R and  $Q \cap R$  is constructible, and every maximal element of  $Q \cap R$  is covered by a maximal element of Q and by a maximal element of R.

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**Theorem 6.** The following are equivalent for a polyhedron X:

(i) X is collapsible;

(ii) X is triangulated by a simplicial complex whose dual poset is constructible;

(iii) X is cellulated by a cell complex whose dual poset is constructible. 18 - 3

Let P be a poset. Let  $p \in P$  cover incomparable elements  $q, r \in P$  , where

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Then P elementarily zips onto the quotient of P by  $(\{p,q,r\},\leq)$ .



#### 19 - 10
### Zipping

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**Lemma.** If a cell complex zips onto a poset L, then L is a cell complex.

**Theorem 7.** A cell complex K zips onto a point if and only if the dual poset  $K^*$  is constructible.

PART 4

# GRAPHS AND MINORS IN HIGHER DIMENSIONS

"Higher-dimensional graphs": Cohen-Macaulay cell complexes.

A cell complex K is called Cohen–Macaulay if K and its links of cells are acyclic in all dimensions except the maximal one. Equivalently,  $H_i(K) = 0 = H_i(K, K \setminus p)$  for all  $i < \dim K$  and every point  $p \in K$ .

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**Lemma.** If K is an n-polyhedron,  $n \neq 2$ , embeddable in  $\mathbb{R}^{2n}$ , then K embeds in a Cohen-Macaulay n-polyhedron, embeddable in  $\mathbb{R}^{2n}$ .

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But does looking at subcomplexes suffice? Why did R-S-T need minors?

**Lemma.** Suppose that a compact *n*-polyhedron P PL embeds in  $\mathbb{R}^m$ , and  $f: P \to Q$  is a PL map. Then Q embeds in  $\mathbb{R}^m$  if either (a) f is collapsible (=has collapsible point-inverses), or (b) f is cell-like (=has contractible point-inverses) and  $m - n \ge 3$ .

**Remark.** It is obvious that Q embeds in  $\mathbb{R}^m$  if Q = P/C, where C is a collapsible subpolyhedron of P. This can be iterated:  $(P/C_1)/C_2$ , etc.

Lemma. Collapsible maps preserve Cohen–Macaulayness.

Let K be the n-skeleton of  $\Delta^{(2n+2)}$ , say. It is Cohen-Macaulay. Pick an (n-1)-cell A in K whose neighborhood in K is a book with at least 3 pages.



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Now shrink each of these r balls to a point. The result is a new polyhedron  $K_r$ , where C is shrunk onto the wedge of r + 1 balls  $C_0, \ldots, C_r$ .

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Also  $K_r$  does not embed in  $\mathbb{R}^{2n}$  since it admits a collapsible map onto K: shrink the wedge of the balls  $C_1, \ldots, C_r$  to a point.



**Remark.** A zipping induces a collapsible map between the cell complexes. Conversely, every collapsible map can be triangulated by a simplicial map whose fibers zip onto points.

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**Example (Zaks–Nevo).** For each n > 1 there exist pairwise non-homeomorphic *n*-dim simplicial complexes  $K_1, K_2, \ldots$  such that each  $K_i$  does not embed in  $\mathbb{R}^{2n}$  but every its proper minor does.

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**Problem II.** Given an n, are there only finitely many n-dimensional Cohen–Macalulay cell complexes that do not embed in  $\mathbb{R}^{2n}$  while all their proper minors embed? (Yes  $\Rightarrow$  a higher-dim. Kuratowski theorem.)

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**Theorem 8.** If K is the n-skeleton of a dichotomial (2n + 1)-sphere,  $n \neq 2$  (resp. of a dichotomial (2n + 2)-sphere), then all its proper minors embed in  $\mathbb{R}^{2n}$  (resp. linklessly embed in  $\mathbb{R}^{2n+1}$ ).

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**Problem III.** Given an m, is the number of dichotomial m-spheres finite?

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