

# Number of Knots and Links in Linear $K_7$

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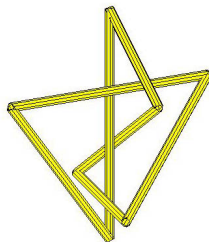
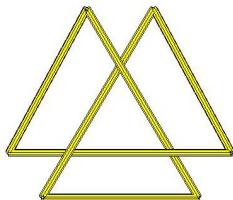
- 1 Definitions
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# Knots and Links

- A  $m$ -component *link* is a union of  $m$  disjoint circles embedded in  $\mathbb{R}^3$ .
- A *knot* is a 1-component link.
- Two links  $L$  and  $L'$  are *ambient isotopic*,  $L \sim L'$ , if there exists a self-homeomorphism  $\Phi$  on  $\mathbb{R}^3$  such that  $\Phi(L) = L'$ .
- The *link type* of  $L$  is the ambient isotopy class of  $L$ .
- $L$  is *trivial* if  $L \sim$  disjoint circles embedded in a plane of  $\mathbb{R}^3$ .

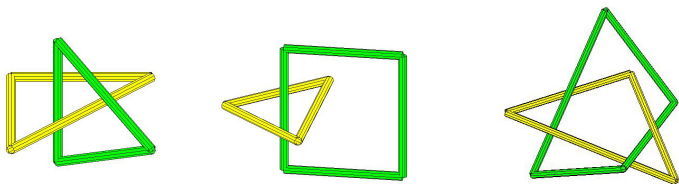
# Polygonal Links (1)

- A *polygonal link* is a link consisting of finitely many straight line segments (*edges*) whose endpoints are called *vertices*.
- Trefoil knot, Figure-8 knot



## Polygonal Links (2)

- Hopf link,  $\{2, 4\}$ -Torus link



- For a link type  $\mathcal{L}$ , *polygon index*  $p(\mathcal{L})$  is the minimal number of the line segments required to realize  $\mathcal{L}$  as a polygon or a union of polygons.
- $p(\text{Trefoil}) = 6$ ,  $p(\text{Figure-8}) = 7$ ,  $p(\text{Hopf link}) = 6$ ,  $p(\{2, 4\}\text{-Tours link}) = 7$  and  $p(\mathcal{L}) \geq 8$  for any other non-trivial link type  $\mathcal{L}$ .

# Complete Graphs and Linear Embeddings

- $K_n$  is the *complete graph* with  $n$  vertices.
- A *linear (embedding of)  $K_n$*  is an embedding of  $K_n$  into  $\mathbb{R}^3$  such that each edge of  $K_n$  is mapped onto a line segment.
- A subgraph of  $K_n$  which is homeomorphic to the circle is called a *cycle* of  $K_n$ .
- A cycle of  $K_n$  is a  *$k$ -cycle* if it contains exactly  $k$  edges. An  $n$ -cycle of  $K_n$  is called a *Hamiltonian* cycle.
- *$(k, l)$ -cycle* is a disjoint pair of a  $k$ -cycle and an  $l$ -cycle of  $K_n$ .

# Knots and Links in $K_n$

## Theorem (Conway-Gordon (1983))

- Any embedding of  $K_6$  contains at least **one** non-trivial link as one of its  $(3, 3)$ -cycle.
- Any embedding of  $K_7$  contains at least **one** non-trivial knot as one of its Hamiltonian cycle.

## Theorem (Negami (1991))

For any knot type  $\mathcal{K}$ , there exists a natural number  $N(\mathcal{K})$  such that every linear embedding of  $K_{N(\mathcal{K})}$  contains a polygonal knot of the type  $\mathcal{K}$ .

# Links in Linear $K_7$

## Theorem (Hughes (2006))

*A linear  $K_6$  contains at most **three** Hopf links.*

## Theorem (Fleming-Mellor (2009))

*Any linear  $K_7$  contains at least **twenty-one** non-trivial links.*

## Theorem (Ludwig-Arbisi (2009))

*The minimum number of non-trivial links in any linear  $K_7$  which forms a convex polyhedron of seven vertices is **twenty-one** and the maximum number of non-trivial links in  $K_7$  is **forty-eight**.*



# Knots in Linear $K_7$

Theorem (Brown (1977), Alfonsín (1999), Nikkuni (2008))

*Any linear  $K_7$  contains at least **one** trefoil knot.  $N(\text{trefoil}) = 7$ .*

Theorem (Foisy-Ludwig (2009))

*A linear embedding of  $K_7$  which forms a convex polyhedron of seven vertices may have at most **fourteen** non-trivial knots.*

Theorem (Huh (2009))

*A linear  $K_7$  contains at most **three** figure-8 knots.*

# Knots and Links in Linear $K_7$ (1)

Theorem (Huh-Jeon (2007), Nikkuni (2008))

*A linear  $K_6$  contains at most **one** trefoil and at most **three** Hopf links.*

- *A linear  $K_6$  contains **a trefoil** if and only if it contains exactly **three Hopf links**.*
- *A linear  $K_6$  does **not** contain **a trefoil** if and only if it contains exactly **one Hopf link**.*

| Number of Knots and Links in Linear $K_6$ |         |
|-------------------------------------------|---------|
| Hopf                                      | Trefoil |
| 1                                         | 0       |
| 3                                         | 1       |

## Knots and Links in Linear $K_7$ (2)

### Corollary (Nikkuni (2008))

*A linear  $K_7$  contains  $n$  trefoils as its 6-cycles if and only if it contains  $2n + 7$  Hopf links as its  $(3, 3)$ -cycles.*

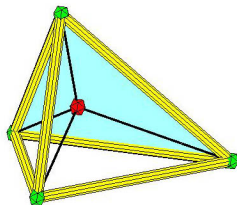
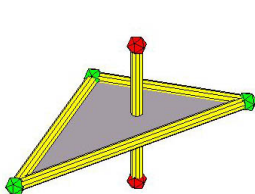
### Theorem (Nikkuni (2008))

*For any linear embedding  $f$  of  $K_7$ ,  $\sum_{\gamma \in \Gamma_7(K_7)} a_2(f(\gamma)) = 1$  if and only if non-trivial 2-component links in  $f(K_7)$  are exactly *twenty-one* Hopf links.*

### Corollary

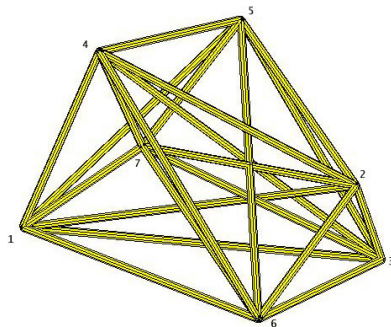
*A linear  $K_7$  contains one more trefoils than figure-8 knots among its 7-cycles if and only if it contains exactly *twenty-one* Hopf links.*

# 5 Points in $\mathbb{R}^3$ , Signed Circuits



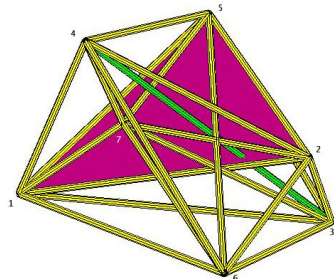
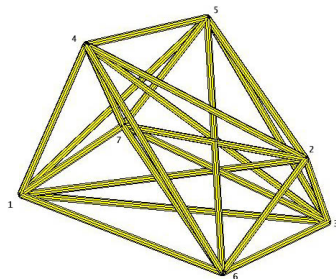
- $C = \{1, 2, 3, -4, -5\}$  and  $-C = \{-1, -2, -3, 4, 5\}$  where  $C^+ = \{1, 2, 3\}$ ,  $C^- = \{4, 5\}$
- $C = \{1, 2, 3, 4, -5\}$  and  $-C = \{-1, -2, -3, -4, 5\}$  where  $C^+ = \{1, 2, 3, 4\}$ ,  $C^- = \{5\}$
- (Radon's Theorem) Any set of  $d + 2$  points in  $\mathbb{R}^d$  can be partitioned into two disjoint sets whose convex hulls intersect.

# 7 Vertices of a Linear $K_7$ in $\mathbb{R}^3$



$$\begin{aligned}
 1 &= [9.239689, 0.635179, 3.144147], & 2 &= [2.429298, 7.173933, 3.78047], \\
 3 &= [2.028693, 7.780807, 0.9256], & 4 &= [6.725992, 1.339026, 8.642522], \\
 5 &= [0.8746, 1.629319, 5.610789], & 6 &= [8.836616, 8.955078, 4.544055], \\
 7 &= [7.11866, 3.255548, 6.418356]
 \end{aligned}$$

# Oriented Matroid of a Linear $K_7$



$$\mathcal{C} = \{\{1, 2, -3, -4, 5\}, \{1, 2, -3, -4, -6\}, \{1, 2, -3, -4, -7\}, \{1, 2, -3, -5, -6\}, \\ \{1, 2, -3, -5, -7\}, \{1, 2, -3, 6, -7\}, \{1, 2, 4, -5, -6\}, \{1, 2, 4, -5, -7\}, \\ \{1, 2, 4, 6, -7\}, \{1, 2, -5, -6, 7\}, \{1, -3, -4, 5, 6\}, \{1, 3, 4, -5, -7\}, \\ \{1, 3, 4, 6, -7\}, \{1, -3, 5, 6, -7\}, \{1, 4, 5, 6, -7\}, \{2, 3, 4, -5, -6\}, \\ \{2, -3, -4, 5, 7\}, \{2, -3, -4, -6, 7\}, \{2, 3, -5, -6, 7\}, \{2, -4, -5, -6, 7\}, \\ \{3, 4, -5, -6, -7\}\}$$

# Oriented Matroids

- An *oriented matroid*  $\mathcal{M}$  on a finite set  $E$  is defined by its collection  $\mathcal{C}$  of *signed circuits* satisfying the following three properties;
  - (a) For all  $C \in \mathcal{C}$ ,  $C \neq \emptyset$
  - (b) For all  $C_1, C_2 \in \mathcal{C}$ ,  $C_2 \subseteq C_1$  implies  $C_2 = C_1$  or  $C_2 = -C_1$
  - (c) (Elimination property) For all  $C_1, C_2 \in \mathcal{C}$  with  $C_1 \neq -C_2$  and all  $x \in (C_1^+ \cap C_2^-)$ , there exists  $C_3 \in \mathcal{C}$  such that  $C_3^+ \subseteq (C_1^+ \cup C_2^+) \setminus \{x\}$  and  $C_3^- \subseteq (C_1^- \cup C_2^-) \setminus \{x\}$ .
- An oriented matroid  $\mathcal{M}$  is *acyclic* if it has no circuit  $C$  with  $C^- = \emptyset$ .
- Matroids obtained from a linear  $K_7$  in  $\mathbb{R}^3$  are called *uniform affine acyclic oriented matroids* of rank  $4 (= 3 + 1)$ .

# Knots and Links from Oriented Matroids (1)

## Theorem (Jeon-Jin)

*Uniform affine acyclic oriented matroids of rank 4 on 7 vertices determine the number of knots and links of all linear  $K_7$ .*

(Idea of Proof) Let  $f$  be a linear embedding of  $K_7$  and  $\mathcal{M}(f)$  be the oriented matroid of  $f$ . Then we will see that the **number of links** in  $f$  is determined by  $\mathcal{M}(f)$ . Note that the number of 6-trefoils in  $f$  is determined by the number of (3, 3)-Hopf links. Hence **number of 6-trefoils** in  $f$  is determined by  $\mathcal{M}(f)$ . By Nikkuni(2008), the following holds.

$$7 \sum_{\gamma \in \Gamma_7(K_7)} a_2(f(\gamma)) - 6 \sum_{\gamma \in \Gamma_6(K_7)} a_2(f(\gamma)) = 2 \sum_{\gamma \in \Gamma_{4,3}(K_7)} \text{lk}(f(\gamma))^2 - 21$$



## Knots and Links from Oriented Matroids (2)

Hence  $\sum_{\gamma \in \Gamma_7(K_7)} a_2(f(\gamma))$  is determined by  $\mathcal{M}(f)$ . Note that  $a_2(f(\text{trefoil})) = 1$  and  $a_2(f(\text{figure} - 8)) = -1$ .

By Huh(2009), we can see that the **number of figure-8 knots** in  $f$  is determined by  $\mathcal{M}(f)$ . Therefore the **number of 7-trefoils** is also determined by  $\mathcal{M}(f)$ .

### Corollary

*Two linear embeddings of  $K_7$  which realize a given uniform affine acyclic oriented matroid of rank 4 have the same number of knots and the same number of links.*

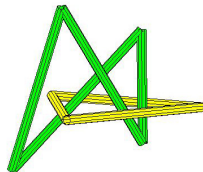
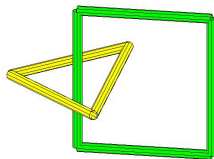
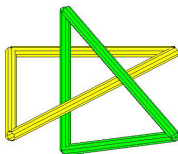
(Proof) A linear embedding of  $K_7$  determines its uniform affine acyclic oriented matroids. Note that uniform affine acyclic oriented matroids of rank 4 on 7 vertices are realizable.

# Algorithm to Count Knots and Links in Linear $K_7$

- ① List 462 uniform affine acyclic oriented matroids of rank 4 on 7 vertices,
- ② Realize each oriented matroid by choosing 7 points in  $\mathbb{R}^3$ ,
- ③ For links, we have two methods;
  - (a) Check all (3, 3)-cycles and (3, 4)-cycles in each realized linear  $K_7$ .
  - (b) (Without Realizaion) Check four signed circuits conditions for non-trivial links in each matroid.
- ④ For knots, we have two methods;
  - (a) Check all 6-cycles and 7-cycles in each realized linear  $K_7$ .
  - (b) (Without Realizaion) Check three signed circuits conditions for figure-8 knots in each matroid.

# Counting Links in Linear $K_7$ from Oriented Matroids (1)

- $\mathcal{M}$  contains a (3,3)-Hopf link  $\Leftrightarrow (a, b, c, -x, -y) \in \mathcal{M}$ ,  
 $(a, b, c, -y, -z) \notin \mathcal{M}$  and  $(a, b, c, -z, -x) \notin \mathcal{M}$
- $\mathcal{M}$  contains a (3,4)-Hopf link  $\Leftrightarrow$  (a) or (b)
  - (a)  $(a, b, c, -x, -y) \in \mathcal{M}$ ,  $(a, b, c, -y, -z) \notin \mathcal{M}$ ,  
 $(a, b, c, -z, -w) \notin \mathcal{M}$  and  $(a, b, c, -w, -x) \notin \mathcal{M}$
  - (b)  $(a, b, c, -x, -y) \in \mathcal{M}$ ,  $(a, b, c, -y, -z) \in \mathcal{M}$ ,  
 $(a, b, c, -z, -w) \in \mathcal{M}$  and  $(a, b, c, -w, -x) \notin \mathcal{M}$





# Result for Links in Linear $K_7$

| Number of Links in Linear $K_7$ |           |                  |     | $K_7$ Matroids |
|---------------------------------|-----------|------------------|-----|----------------|
| (3,3)Hopf                       | (3,4)Hopf | $\{2,4\}$ -Torus | Sum |                |
| 7                               | 14        | 0                | 21  | 305            |
| 9                               | 18        | 0                | 27  | 97             |
| 11                              | 22        | 0                | 33  | 20             |
| 13                              | 22        | 1                | 36  | 11             |
|                                 | 26        | 0                | 39  | 18             |
| 15                              | 26        | 1                | 42  | 7              |
|                                 | 30        | 0                | 45  | 3              |
| 17                              | 30        | 1                | 48  | 1              |
| Total                           |           |                  |     | 462            |

REMARK. The number of 3-4 Hopf links is not twice the number of 3-3 Hopf links if and only if the number of  $\{2,4\}$ -torus link is one.

# Result for Knots in Linear $K_7$

| Number of Knots in Linear $K_7$ |           |       |     | $K_7$ Matroids |
|---------------------------------|-----------|-------|-----|----------------|
| 6-Trefoil                       | 7-Trefoil | Fig-8 | Sum |                |
| 0                               | 1         | 0     | 1   | 305            |
| 1                               | 3         | 0     | 4   | 97             |
| 2                               | 5         | 0     | 7   | 20             |
| 3                               | 7         | 0     | 10  | 18             |
|                                 | 8         | 1     | 12  | 11             |
| 4                               | 9         | 0     | 13  | 7              |
|                                 | 11        | 2     | 17  | 1              |
|                                 | 12        | 3     | 19  | 2              |
| 5                               | 11        | 0     | 16  | 1              |
| Total                           |           |       |     | 462            |

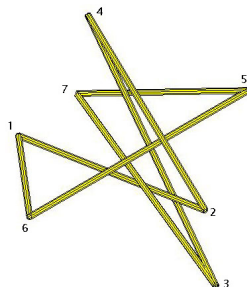
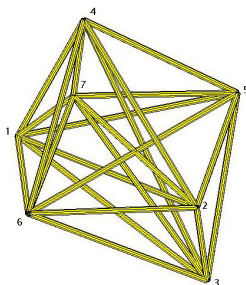
REMARK. No linear  $K_7$  can contain more than five 6-trefoils.

# Result for Knots and Links in Linear $K_7$

| Number of Knots and Links in Linear $K_7$ |           |       |           |           |                   |
|-------------------------------------------|-----------|-------|-----------|-----------|-------------------|
| 6-Trefoil                                 | 7-Trefoil | Fig-8 | (3,3)Hopf | (3,4)Hopf | $\{2, 4\}$ -Torus |
| 0                                         | 1         | 0     | 7         | 14        | 0                 |
| 1                                         | 3         | 0     | 9         | 18        | 0                 |
| 2                                         | 5         | 0     | 11        | 22        | 0                 |
| 3                                         | 7         | 0     | 13        | 22        | 1                 |
|                                           | 8         | 1     |           | 26        | 0                 |
| 4                                         | 9         | 0     | 15        | 26        | 1                 |
|                                           | 11        | 2     |           | 30        | 0                 |
|                                           | 12        | 3     |           |           |                   |
| 5                                         | 11        | 0     | 17        | 30        | 1                 |

REMARK. There are at least ten isotopy classes of embeddings of  $K_7$ . If a linear  $K_7$  contains a  $\{2, 4\}$ -torus link then it contains no figure-8 knots.

# Examples (1)

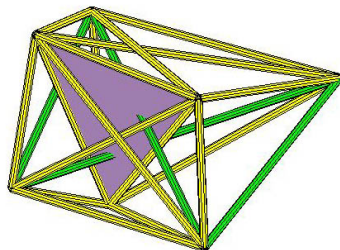
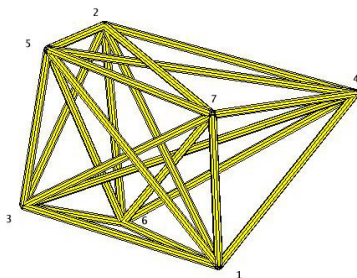


| Number of Knots and Links in linear $K_7$ (Matroid-237) |           |       |           |           |                   |
|---------------------------------------------------------|-----------|-------|-----------|-----------|-------------------|
| 6-Trefoil                                               | 7-Trefoil | Fig-8 | (3,3)Hopf | (3,4)Hopf | $\{2, 4\}$ -Torus |
| 4                                                       | 12        | 3     | 15        | 30        | 0                 |

REMARK. In a linear  $K_7$ , there exist at most twelve 7-trefoils and at most three figure-8 knots. In this example,  $(1, 2, 7, 5, 6, 3, 4)$ ,  $(1, 2, 7, 3, 4, 6, 5)$  and  $(1, 2, 4, 3, 7, 5, 6)$  are figure-8 knots.



# Examples (2)



Number of Knots and Links in linear  $K_7$  (Matroid-450)

| 6-Trefoil | 7-Trefoil | Fig-8 | (3,3)Hopf | (3,4)Hopf | $\{2, 4\}$ -Torus |
|-----------|-----------|-------|-----------|-----------|-------------------|
| 5         | 11        | 0     | 17        | 30        | 1                 |

REMARK. In a linear  $K_7$ , there exist at most five 6-trefoils and at most one  $\{2, 4\}$ -torus link.

# Summary

- ① We investigated the number of knots and links in all linear embeddings of  $K_7$ .
- ② We could see all previous results about the number of knots and links in linear  $K_7$ .
- ③ Further Study
  - ① By topological arguments, one can prove some new results obtained by this work.
  - ② We are going to investigate knots and links in linear embeddings of  $K_8$
- (Special Thanks) We are grateful to Prof. Youngsik Huh for his valuable comments.

**“Thank You!”**