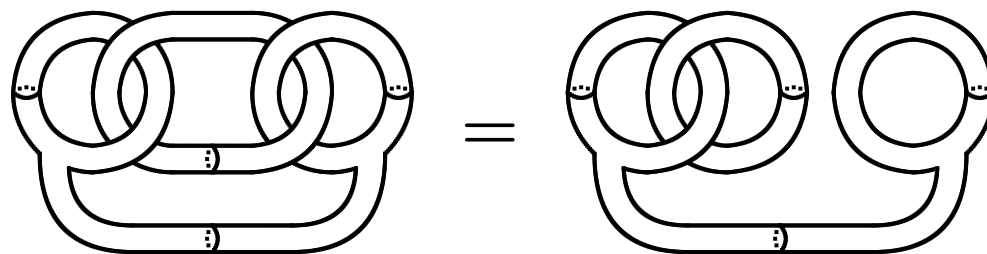
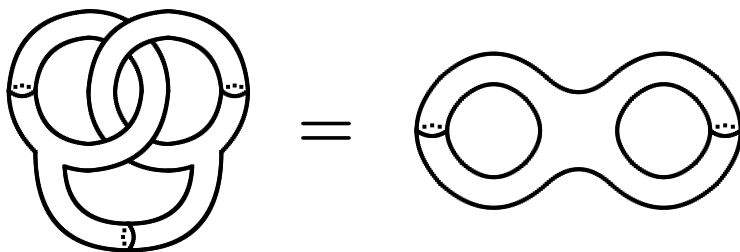


# A knotted handlebody and a spatial graph

Atsushi Ishii (University of Tsukuba)

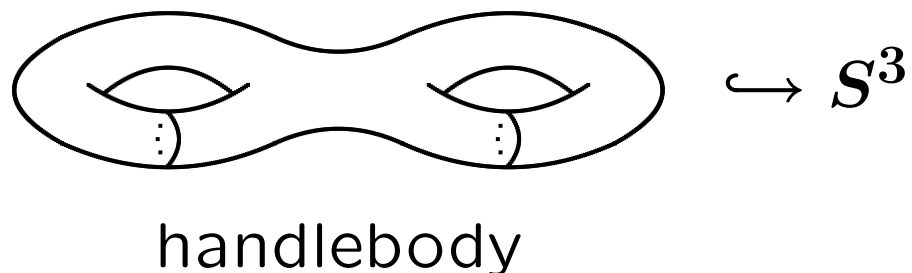


# What is a knotted handlebody?

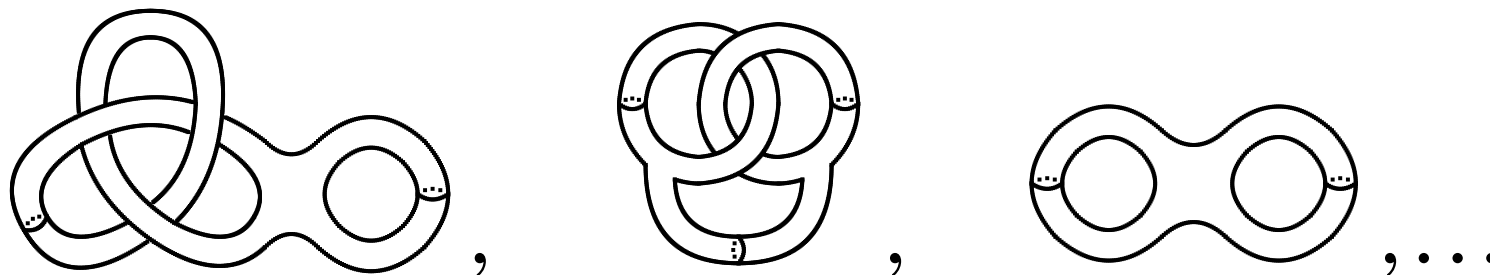
A knotted handlebody, or a handlebody-knot,

is a handlebody embedded in a 3-dimensional manifold  $M$ .

(In this talk,  $M := S^3$ .)



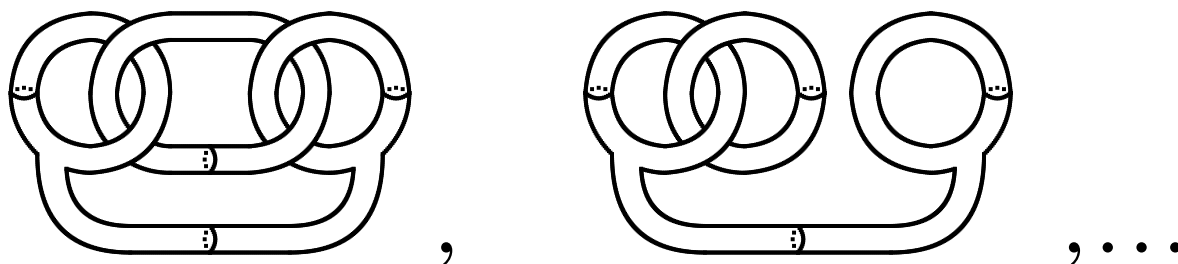
## Example



## A handlebody-link

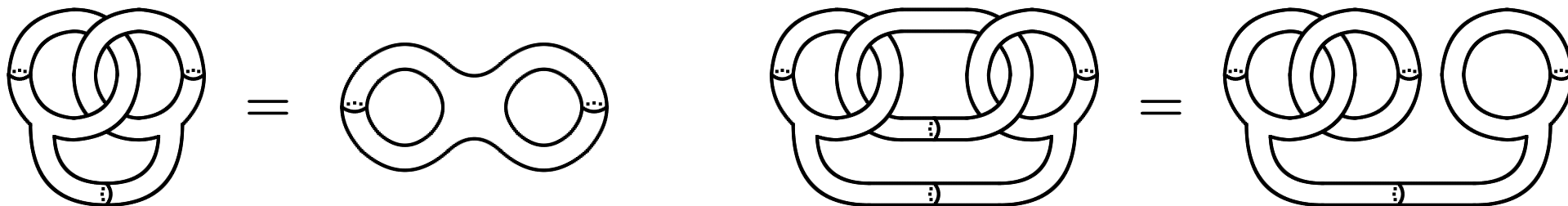
is a disjoint union of handlebodies embedded in  $S^3$ .

### Example



Def  $H, H'$ : handlebody-links

$H = H' \stackrel{\text{def}}{\Leftrightarrow} \exists \text{ isotopy } f_t : S^3 \rightarrow S^3 \text{ s.t. } f_0 = \text{id}_{S^3}, f_1(H) = H'$



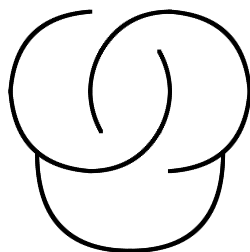
# A spatial graph and a handlebody-link

## A spatial graph

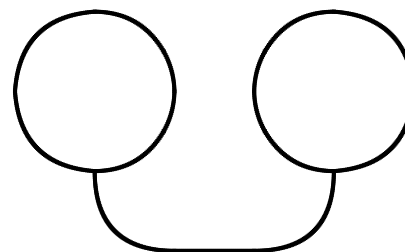
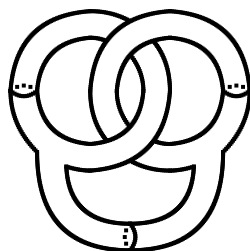
is a finite graph embedded in  $S^3$ .

$$\left( \text{figure-eight graph} \hookrightarrow S^3, \quad \text{two circles connected by a line} \hookrightarrow S^3, \dots \right)$$

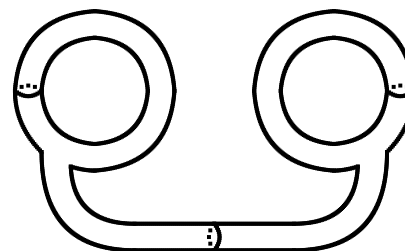
## Example



$N \downarrow$



$N \downarrow$



Lem  $N : \{\text{spatial graph}\} \rightarrow \{\text{handlebody-link}\}$  is surjective.  
 $\quad \quad \quad K \quad \quad \quad \mapsto \quad N(K) \text{ (a reg. nbd. of } K)$

Lem  $N : \{\text{spatial graph}\} \rightarrow \{\text{handlebody-link}\}$  is surjective.  
 $\mathbf{K} \mapsto N(\mathbf{K})$  (a reg. nbd. of  $\mathbf{K}$ )

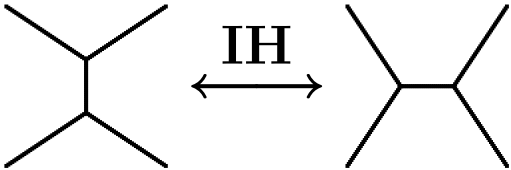
A spatial spine

is a trivalent graph embedded in  $S^3$ .

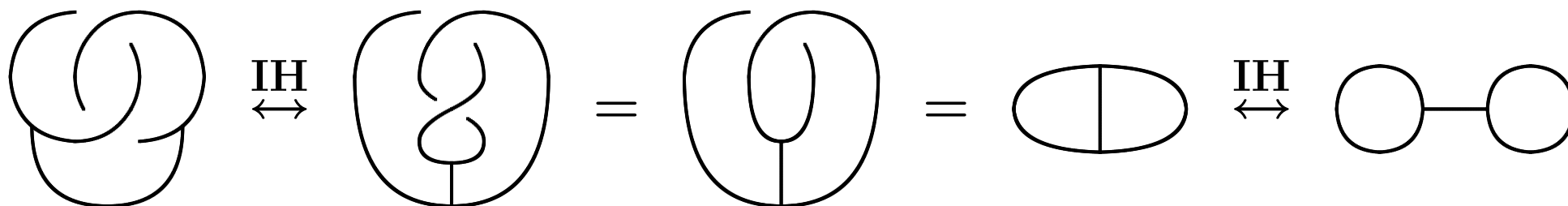
Lem  $N : \{\text{spatial spine}\} \rightarrow \{\text{handlebody-link}\}$  is surjective.  
 $\mathbf{K} \mapsto N(\mathbf{K})$

- What is the kernel of this map?

## An IH-move

is the local move  on spatial spines.

## Example



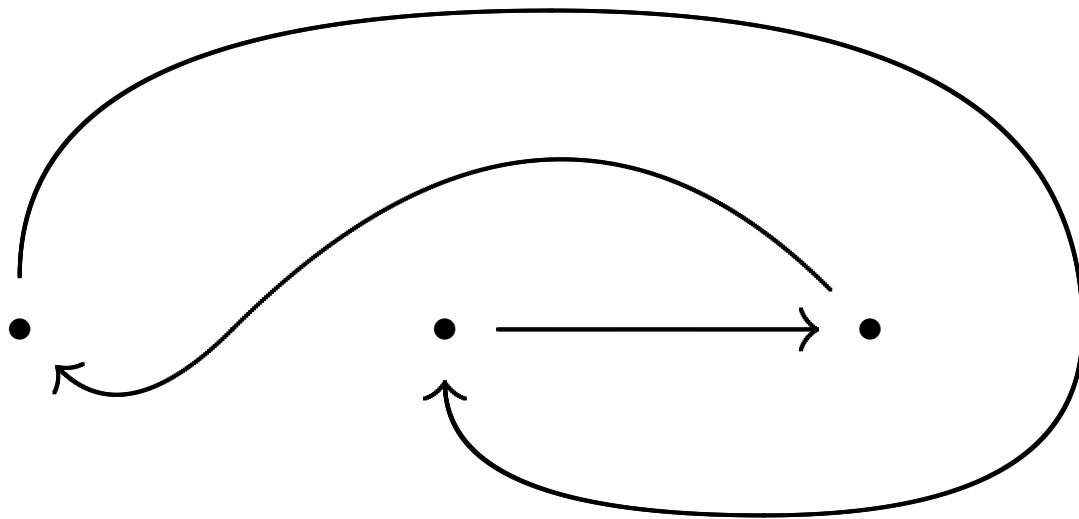
Thm [I]  $K, K'$ : spatial spines

$$N(K) = N(K') \Leftrightarrow K \xleftrightarrow{\text{IH}} K_1 \xleftrightarrow{\text{IH}} K_2 \xleftrightarrow{\text{IH}} \dots \xleftrightarrow{\text{IH}} K',$$

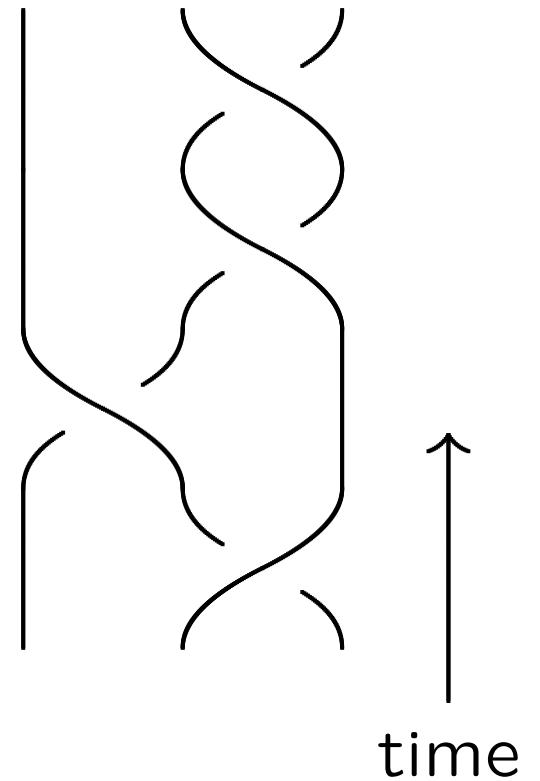
that is,  $\{\text{handlebody-link}\} = \{\text{spatial spine}\} / \text{IH-move}.$

# Motions of particles and IH-moves

a motion of particles

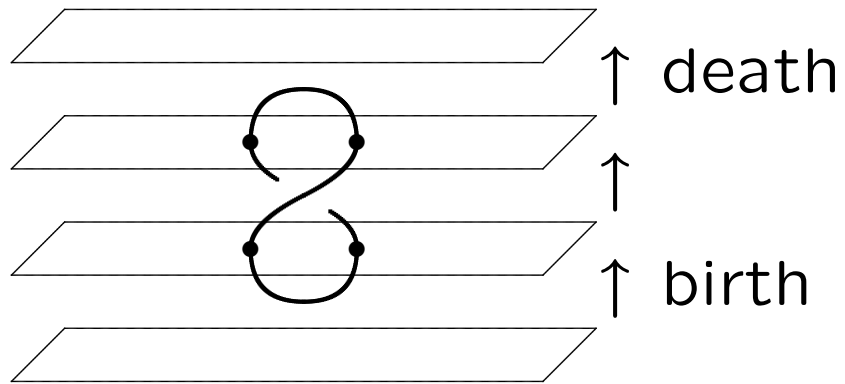


a braid

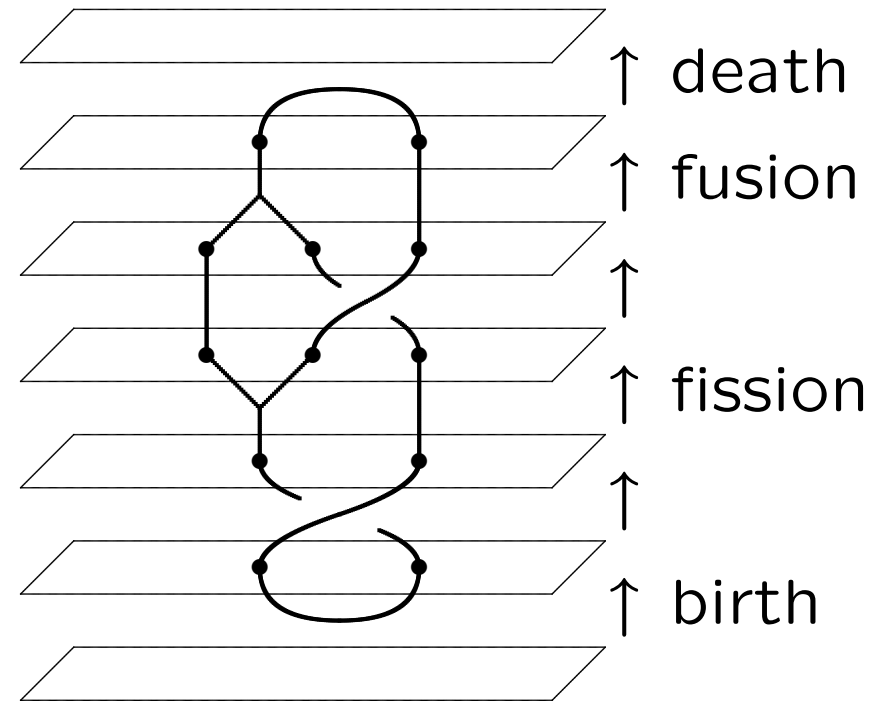


- a braid = a motion of particles

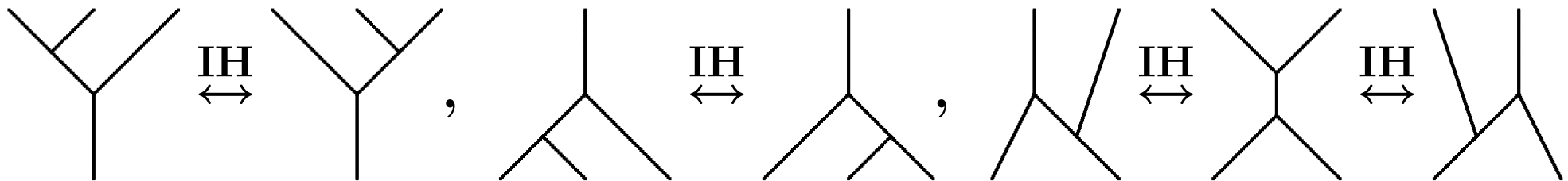
a link



a spatial graph



### Various IH-moves



- a handlebody-link = a motion not depending on the local order of fission and fusion



## The neighborhood equivalence relation

Def [Suzuki]

Spatial graphs  $K, K'$  are neighborhood equivalent ( $K \sim_N K'$ )  
 $\stackrel{\text{def}}{\Leftrightarrow} \exists$  ori. pres. homeo.  $h : S^3 \rightarrow S^3$  s.t.  $h(N(K)) = N(K')$

Remark

- $K \sim_N K' \Leftrightarrow N(K) = N(K')$  as handlebody-links
- $K = K' \Rightarrow K \sim_N K' \Rightarrow E(K) \approx E(K')$  (exterior)

(1)  $K \neq K'$  and  $K \sim_N K'$

(2)  $K \not\sim_N K'$  and  $E(K) \approx E(K')$

(3)  $E(K) \not\approx E(K')$

(1)  $\Rightarrow$  IH-complex [I-Kishimoto]

(2)  $\Rightarrow$  invariants for handlebody-links [I-Iwakiri, I-Jang-Oshiro]

(3)  $\Rightarrow$  invariants for exteriors (the fundamental group, etc.)

## Invariants for handlebody-links

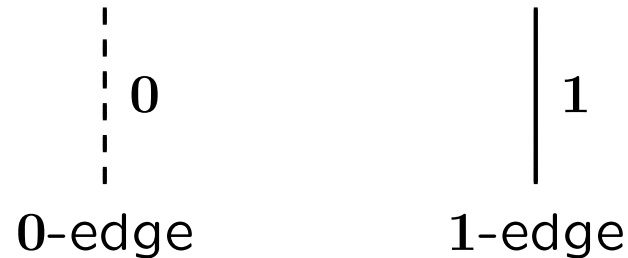
- the fundamental group, etc.
- quandle cocycle invariants
  - with commutative flows [I-Iwakiri]
  - with noncommutative flows [I-Jang-Oshiro]

## Step to defining a quandle cocycle invariant

- (1) a flow (a  $\mathbb{Z}_2$ -flow in this talk)
- (2) a quandle coloring invariant (a  $p$ -coloring in this talk)
- (3) a quandle cocycle invariant

A  $\mathbb{Z}_2$ -flow of a spatial (3-regular) graph  $K$

is an assignment of 0 or 1 to each edge of  $K$   
such that 1-edges form a constituent link of  $K$ .



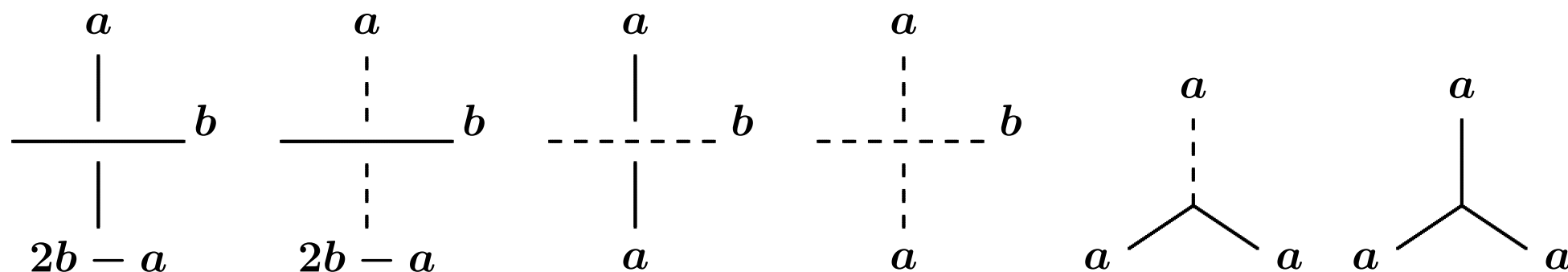
$\text{Flow}(K) := \{\varphi \mid \mathbb{Z}_2\text{-flow of } K\}.$

Example

$$\text{Flow}\left(\bigcirc \!\! \bigcirc\right) = \left\{ \begin{array}{c} \text{dashed edge} \\ \bigcirc \!\! \bigcirc \end{array} , \begin{array}{c} \text{solid edge} \\ \bigcirc \!\! \text{dashed edge} \end{array} , \begin{array}{c} \text{dashed edge} \\ \text{dashed edge} \end{array} , \begin{array}{c} \text{solid edge} \\ \text{solid edge} \end{array} \right\}$$

$$\text{Flow}\left(\bigcirc - \bigcirc\right) = \left\{ \begin{array}{c} \text{solid edge} \\ \bigcirc - \bigcirc \end{array} , \begin{array}{c} \text{solid edge} \\ \bigcirc - \text{dashed edge} \end{array} , \begin{array}{c} \text{dashed edge} \\ \text{dashed edge} - \bigcirc \end{array} , \begin{array}{c} \text{dashed edge} \\ \text{dashed edge} - \text{dashed edge} \end{array} \right\}$$

A  $p$ -coloring of a diagram  $D_\varphi$  of a flowed spatial graph  $(K, \varphi)$  is an assignment of an element of  $\mathbb{Z}_p = \{0, \dots, p-1\}$  to each arc of  $D_\varphi$  satisfying the following.



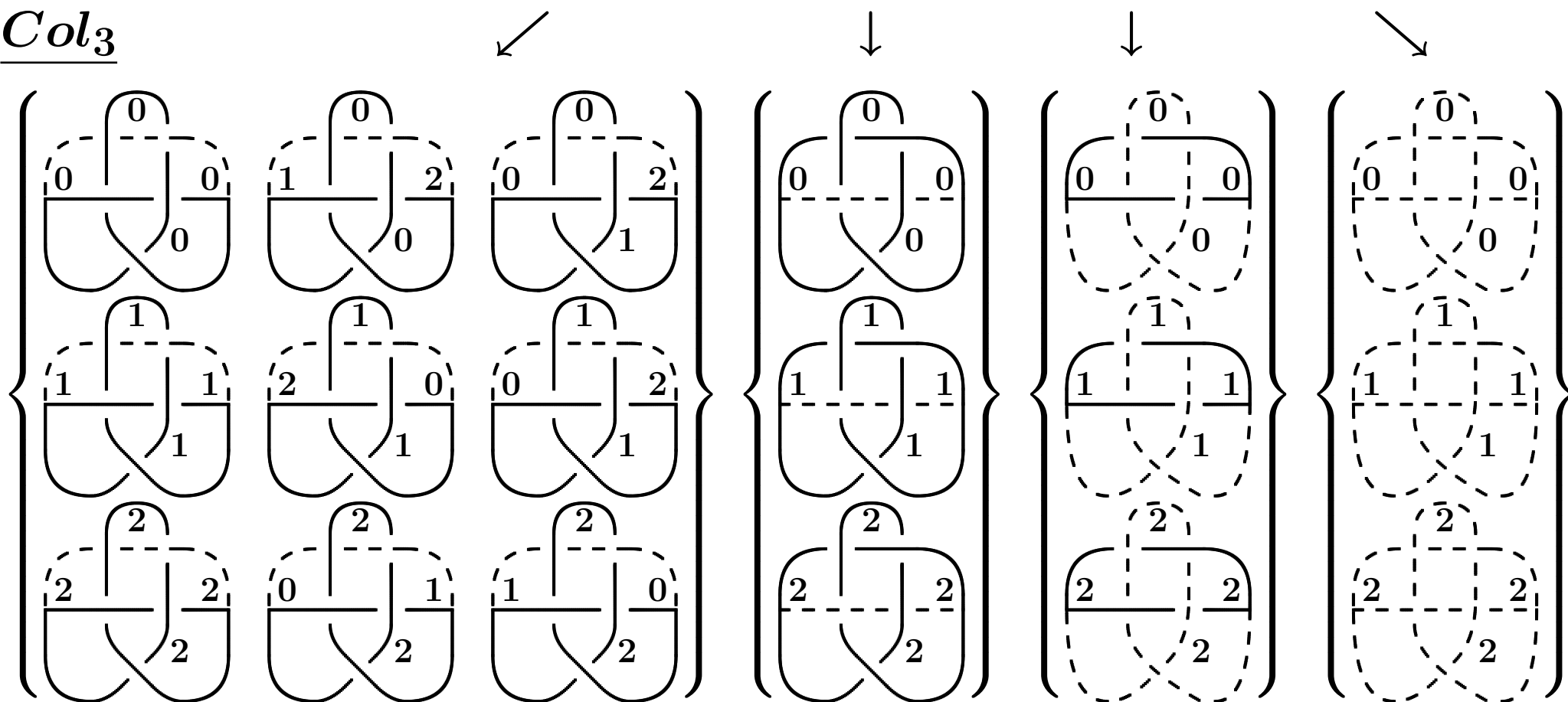
$Col_p(D_\varphi)$  : the set of  $p$ -colorings of  $D_\varphi$

Thm The multiset  $\{\#Col_p(D_\varphi) \mid \varphi \in \mathbf{Flow}(K)\}$  is an invariant of the handlebody-link  $N(K)$  (and a spatial graph  $K$ ).

Example ( $p = 3$ )

$$\text{Flow} \left( \text{Diagram} \right) = \left\{ \text{Diagram}_1, \text{Diagram}_2, \text{Diagram}_3, \text{Diagram}_4 \right\}$$

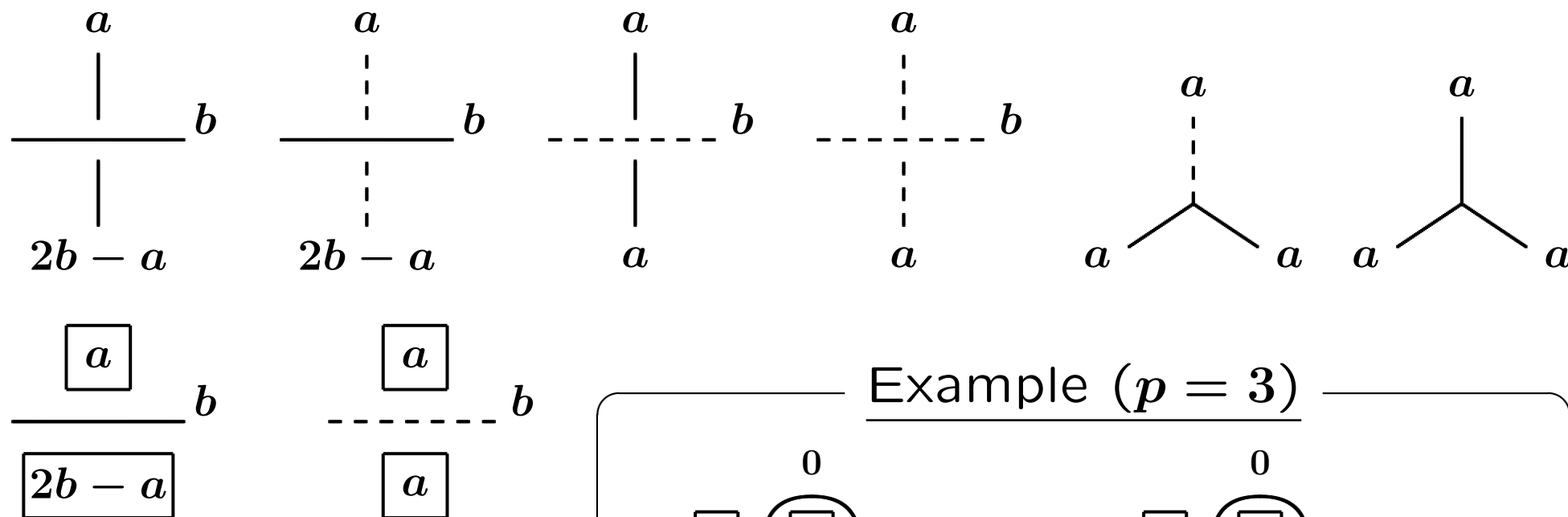
$Col_3$



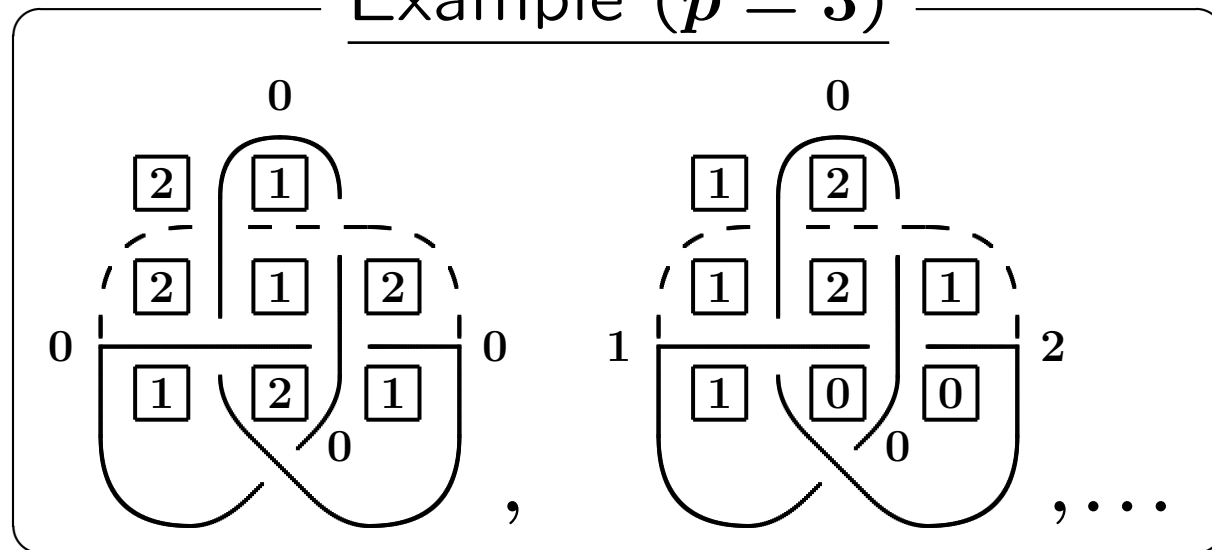
The coloring invariant is  $\{9, 3, 3, 3\}$ . cf.  $\{3, 3, 3, 3\}$  (trivial)

A shadow  $p$ -coloring of a diagram  $D_\varphi$

is an assignment of an element of  $\mathbb{Z}_p = \{0, \dots, p-1\}$  to each arc and region of  $D_\varphi$  satisfying the following.



Example ( $p = 3$ )



$\widetilde{Col}_p(D_\varphi)$  : the set of shadow  $p$ -colorings of  $D_\varphi$

# The quandle cocycle invariant $\Phi_p$

$$\Phi_p(K) := \sum_{\varphi \in \text{Flow}(K)} \prod_{C \in \widetilde{\text{Col}}_p(D_\varphi)} x_{B(C)},$$

where  $B(C)$  is the sum of the Boltzmann weights on all crossings.

$$\begin{array}{c}
 b \\
 | \\
 \boxed{a} \text{ --- } c \\
 | \\
 b \\
 \vdots \\
 \boxed{a} \text{ --- } c \\
 \vdots
 \end{array}
 \xrightarrow{\text{Boltzmann weight}}
 (a-b) \frac{(2c-b)^p + b^p - 2c^p}{p} \in \mathbb{Z}_p$$

$$\begin{array}{c}
 b \\
 \vdots \\
 \boxed{a} \text{ --- } c \\
 \vdots
 \end{array},
 \begin{array}{c}
 b \\
 | \\
 \boxed{a} \text{ --- } c \\
 | \\
 \vdots
 \end{array},
 \begin{array}{c}
 b \\
 \vdots \\
 \boxed{a} \text{ --- } c \\
 \vdots
 \end{array}
 \xrightarrow{\text{Boltzmann weight}} 0$$

## Example

$$\Phi_3 \left( \text{Diagram 1} \right) = x_0^9 x_2^{18} + 3x_0^9 \neq x_0^9 x_1^{18} + 3x_0^9 = \Phi_3 \left( \text{Diagram 2} \right)$$