# Topological Symmetry Groups of $K_1$ to $K_6$ and $K_{4r+3}$

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The following definitions should be familiar by now.

## Definition: $TSG(\Gamma)$

The **topological symmetry group** of a graph  $\Gamma$  embedded in  $S^3$  is the subgroup of  $\operatorname{Aut}(\Gamma)$  induced by homeomorphisms of the graph in  $S^3$ . It is denoted by  $\operatorname{TSG}(\Gamma)$ 

## Definition: $TSG_+(\Gamma)$

The orientation preserving topological symmetry group,  $TSG_+(\Gamma)$ , is the subgroup of  $TSG(\Gamma)$  induced by orientation preserving homeomorphisms of  $(S^3, \Gamma)$ .

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- Easy to guess  $TSG(K_2) = Z_2$ .

Thus  $K_3$  is first exciting case.

Let  $\Gamma$  be an 'unknotted' embedding of  $K_3$ 

Then  $\mathrm{TSG}(\Gamma) = \mathrm{TSG}_+(\Gamma) = \mathrm{D}_3$ 

Consider  $K_3$  again. But suppose an edge had  $8_{17}$  on it.

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817 is non-invertible.

Thus  $\mathrm{TSG}(\Gamma)=\mathrm{D}_3$  but  $\mathrm{TSG}_+(\Gamma)=\mathbb{Z}_3.$ 

# Adding Knots to $K_3$

Note: Knots on  $K_3$  can be slithered from edge to edge hence (123) is always an element in  $TSG(K_3)$  and thus we can't have  $TSG(K_3) = \mathbb{Z}_2$  or  $TSG(K_3) = \langle e \rangle$ .

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Knots in <b>Γ</b>	TSG( <b>Γ</b> )	$\mathrm{TSG}_{+}(\Gamma)$
None	$D_3$	$D_3$
8 <sub>17</sub>	$D_3$	$\mathbb{Z}_3$
$8_{17} \# 3_1$	$\mathbb{Z}_3$	$\mathbb{Z}_3$

Table: TSG Summary for  $K_3$ 

In general, let  $\Gamma$  be a complete graph in  $S^3$ 

The Complete Graph Theorem[2] is useful in paring down the possibilities for  $\mathrm{TSG}_+(\Gamma).$ 

Complete Graph Theorem (Flapan, Naimi and Tamvakis 2006)

A finite group H is  $TSG_+(\Gamma)$  for some embedding  $\Gamma$  of a complete graph in  $S^3$  if and only if H is a finite cyclic group, a dihedral group,  $A_4$ ,  $S_4$  or  $A_5$  or a subgroup of  $D_m \times D_m$  for some odd m.

#### Recall.

## A<sub>4</sub> Theorem [Flapan, Mellor, Naimi]

A complete graph  $K_m$  with  $m \ge 4$  has an embedding  $\Gamma$  in  $S^3$  such that  $\mathrm{TSG}_+(\Gamma) = A_4$  if and only if  $m \equiv 0, 1, 4, 5, 8 \pmod{12}$ .

## S<sub>4</sub> Theorem [Flapan, Mellor, Naimi]

A complete graph  $K_m$  with  $m \ge 4$  has an embedding  $\Gamma$  in  $S^3$  such that  $\mathrm{TSG}_+(\Gamma) = \mathrm{S}_4$  if and only if  $m \equiv 0, 4, 8, 12, 20 \pmod{24}$ .

## A<sub>5</sub> Theorem [Flapan, Mellor, Naimi]

A complete graph  $K_m$  with  $m \ge 4$  has an embedding  $\Gamma$  in  $S^3$  such that  $\mathrm{TSG}_+(\Gamma) = A_5$  if and only if  $m \equiv 0, 1, 5, 20 \pmod{60}$ .

## Key Theorems contd...

### **Dihedral Theorem**

A complete graph  $K_n$  has an embedding in  $S^3$ ,  $\Gamma$  such that  $TSG_+(\Gamma) \cong D_m$  if and only if one of the following holds:

- (1) m is odd and n = mr, mr + 1, mr + 2, or mr + 3
- (2) m > 2 is even and m|n
- (3) *m* is 2 and *n* is even for n > 2
- (4) *m* is 2 and n = 4q + 1 for some  $q \in \mathbb{N}$

# Key Theorems contd...

## Dihedral Theorem

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## Cyclic Theorem

A complete graph  $K_n$  has an embedding in  $S^3$ ,  $\Gamma$  such that  $\mathrm{TSG}_+(\Gamma) \cong \mathbb{Z}_m$  if and only if one of the following holds: (1) m is odd and n = mr, mr + 1, mr + 2, or mr + 3(2) m > 2 is even and m|n(3) m is 2 and n is not 3

# Key Lemmas

## Auto-Order Lemma

Let n > 3 and let  $\Gamma$  be an embedding of  $K_n$  in  $S^3$ . Suppose that  $\phi$  is an automorphism of  $\Gamma$  which is induced by an orientation preserving homeomorphism of  $(S^3, \Gamma)$ . Then  $\operatorname{order}(\phi) \le n$ . Furthermore if  $\phi$  is induced by an orientation reversing homeomorphism of  $(S^3, \Gamma)$  and n = 5 or 6 then  $\operatorname{order}(\phi) \le 6$ .

#### Chiral Knot Lemma

For any group G such that there exists an embedding  $\Gamma$  of a 3-connected graph in  $S^3$  with  $\mathrm{TSG}_+(\Gamma) \cong G$ , then there also exists  $\Gamma'$  such that  $\mathrm{TSG}(\Gamma') \cong G$ .



 $\Gamma$  an embedding of  $K_n$  in  $S^3$  for n > 3.



## $\Gamma$ an embedding of $K_n$ in $S^3$ for n > 3.

 $\mathrm{TSG}(\Gamma) \leq S_n$ 



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Theorems applied to first determine  $TSG_{+}(\Gamma)$  groups.



Subgroups of  $S_4$ .



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 $\mathrm{S}_4, A_4, \mathrm{D}_4, \mathrm{D}_3, \mathrm{D}_2, \mathbb{Z}_4, \mathbb{Z}_3, \mathbb{Z}_2, \langle e \rangle$ 



## Subgroups of $S_4$ .

## $\mathrm{S}_4, A_4, \mathrm{D}_4, \mathrm{D}_3, \mathrm{D}_2, \mathbb{Z}_4, \mathbb{Z}_3, \mathbb{Z}_2, \langle e \rangle$

Table 2: Realizability of subgroups of $S_4$ as $TSG_+(K_4)$			
Subgroup	$\mathrm{TSG}_+$	Reason	
$S_4$	Yes	By $S_4$ Theorem	
$A_4$	Yes	By $A_4$ Theorem	
D <sub>4</sub>	Yes	By Dihedral Theorem	
D <sub>3</sub>	Yes	By Dihedral Theorem	
D <sub>2</sub>	Yes	By Dihedral Theorem	
$\mathbb{Z}_4$	Yes	By Cyclic Theorem	
$\mathbb{Z}_3$	Yes	By Cyclic Theorem	
$\mathbb{Z}_2$	Yes	By Cyclic Theorem	

By Chiral Knot lemma above groups can also be  $TSG(K_4)$ .

## Case for $K_5$

## Subgroups of $S_5$

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#### Subgroups of $S_5$

 $S_5$ ,  $A_5$ ,  $S_4$ ,  $A_4$ ,  $\mathbb{Z}_5 \rtimes \mathbb{Z}_4$ ,  $D_6$ ,  $D_5$ ,  $D_4$ ,  $D_3$ ,  $D_2$ ,  $\mathbb{Z}_6$ ,  $\mathbb{Z}_5$ ,  $\mathbb{Z}_4$ ,  $\mathbb{Z}_3$ ,  $\mathbb{Z}_2$ 

 $A \rtimes B$  - semidirect product of a group B acting on a group A.

## Subgroups of $S_5$

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 $A \rtimes B$  - semidirect product of a group B acting on a group A.

By the Complete Graph Theorem we can't have  $\mathbb{Z}_5 \rtimes \mathbb{Z}_4$  or  $S_5$  as  $\mathrm{TSG}_+(K_5)$ .

A<sub>5</sub>, S<sub>4</sub>, A<sub>4</sub>, D<sub>6</sub>, D<sub>5</sub>, D<sub>4</sub>, D<sub>3</sub>, D<sub>2</sub>,  $\mathbb{Z}_6$ ,  $\mathbb{Z}_5$ ,  $\mathbb{Z}_4$ ,  $\mathbb{Z}_3$ , and  $\mathbb{Z}_2$  are the only candidates for  $TSG_+(K_5)$ 

# $TSG_+(K_5)$ results

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Table 3: Realizability of subgroups of $\mathrm{S}_5$ as $\mathrm{TSG}_+({\mathcal K}_5)$			
Subgroup	$\mathrm{TSG}_+$	Reason	
A <sub>5</sub>	Yes	By A <sub>5</sub> Theorem	
$S_4$	No	By S <sub>4</sub> Theorem	
$A_4$	Yes	By A <sub>4</sub> Theorem	
$D_6$	No	By Auto-Order Lemma	
$D_5$	Yes	By Dihedral Theorem	
D <sub>4</sub>	No	By Dihedral Theorem	
D <sub>3</sub>	Yes	By Dihedral Theorem	
D <sub>2</sub>	Yes	By Dihedral Theorem	
$\mathbb{Z}_6$	No	By Auto-Order Lemma	
$\mathbb{Z}_5$	Yes	By Cyclic Theorem	
$\mathbb{Z}_4$	No	By Cyclic Theorem	
$\mathbb{Z}_3$	Yes	By Cyclic Theorem	
$\mathbb{Z}_2$	Yes	By Cyclic Theorem	

By Chiral Knot lemma each group that was "yes" in Table 3 can also be  $\mathrm{TSG}(\mathcal{K}_5)$ .

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Need to consider  $S_5$ ,  $S_4$ ,  $D_6$ ,  $D_4$ ,  $\mathbb{Z}_6$ ,  $\mathbb{Z}_4$  and  $\mathbb{Z}_5 \rtimes \mathbb{Z}_4$  for  $TSG(\mathcal{K}_5)$ .



Figure:  $TSG(\Gamma) = S_5$ .



Figure:  $TSG(\Gamma) = S_5$ .

Thus  $TSG(K_5)$  can be  $S_5$  and  $S_4$ .



Figure:  $TSG(\Gamma) = D_6$ .



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Automorphism (123)(45) has order 6. Thus  $TSG(K_5) = \langle (123), (45), (23) \rangle = D_6.$ 



Figure:  $TSG(\Gamma) = D_6$ .

Automorphism (123)(45) has order 6. Thus  $TSG(K_5) = \langle (123), (45), (23) \rangle = D_6.$ Add  $8_{17}$  to red edges to obtain  $TSG(K_5) = \mathbb{Z}_6$ 



Figure:  $TSG(\Gamma) = D_4$ .



Figure:  $TSG(\Gamma) = D_4$ .

Thus  $TSG(K_5)$  can be  $D_4$  and  $\mathbb{Z}_4$ .

# $TSG(K_5)$ Table

Table 4: Realizability of subgroups of $S_5$ as $TSG(K_5)$		
Subgroup	TSG	Reason
$S_5$	Yes	See above sketch and argument
$A_5$	Yes	By Chiral Knot Lemma
$S_4$	Yes	See above sketch and argument
<i>A</i> <sub>4</sub>	Yes	By Chiral Knot Lemma
D <sub>6</sub>	Yes	See above sketch and argument
$D_5$	Yes	By Chiral Knot Lemma
D <sub>4</sub>	Yes	See above sketch and argument
$D_3$	Yes	By Chiral Knot Lemma
D <sub>2</sub>	Yes	By Chiral Knot Lemma
$\mathbb{Z}_6$	Yes	See above sketch and argument
$\mathbb{Z}_5$	Yes	By Chiral Knot Lemma
$\mathbb{Z}_4$	Yes	See above sketch and argument
$\mathbb{Z}_3$	Yes	By Chiral Knot Lemma
$\mathbb{Z}_2$	Yes	By Chiral Knot Lemma

## Last $TSG(K_5)$ result

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All other results have been "Yes" so far...

#### Subgroups of $S_6$ :

 $\begin{array}{l} \mathrm{S}_6, \ A_6, \ \mathrm{S}_5, \ A_5, \ \mathrm{S}_3 \wr \mathbb{Z}_2, \ \mathrm{S}_4 \times \mathbb{Z}_2, \ A_4 \times \mathbb{Z}_2, \ \mathrm{S}_4, \ A_4, \ \mathbb{Z}_5 \rtimes \mathbb{Z}_4, \\ \mathrm{D}_3 \times \mathrm{D}_3, \ (\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_4, \ (\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_2, \ \mathrm{D}_3 \times \mathbb{Z}_3, \ \mathbb{Z}_3 \times \mathbb{Z}_3, \ \mathrm{D}_6, \\ \mathrm{D}_5, \ \mathrm{D}_4, \ \mathrm{D}_4 \times \mathbb{Z}_2, \ \mathrm{D}_3, \ \mathrm{D}_2, \ \mathbb{Z}_6, \ \mathbb{Z}_5, \ \mathbb{Z}_4, \ \mathbb{Z}_4 \times \mathbb{Z}_2, \ \mathbb{Z}_3, \ \mathbb{Z}_2, \ \text{and} \\ \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2. \end{array}$ 

Note  $A \wr B$  represents a wreath product of A by B.

#### Subgroups of $S_6$ :

 $\begin{array}{l} \mathrm{S}_6, \ A_6, \ \mathrm{S}_5, \ A_5, \ \mathrm{S}_3 \wr \mathbb{Z}_2, \ \mathrm{S}_4 \times \mathbb{Z}_2, \ A_4 \times \mathbb{Z}_2, \ \mathrm{S}_4, \ A_4, \ \mathbb{Z}_5 \rtimes \mathbb{Z}_4, \\ \mathrm{D}_3 \times \mathrm{D}_3, \ (\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_4, \ (\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_2, \ \mathrm{D}_3 \times \mathbb{Z}_3, \ \mathbb{Z}_3 \times \mathbb{Z}_3, \ \mathrm{D}_6, \\ \mathrm{D}_5, \ \mathrm{D}_4, \ \mathrm{D}_4 \times \mathbb{Z}_2, \ \mathrm{D}_3, \ \mathrm{D}_2, \ \mathbb{Z}_6, \ \mathbb{Z}_5, \ \mathbb{Z}_4, \ \mathbb{Z}_4 \times \mathbb{Z}_2, \ \mathbb{Z}_3, \ \mathbb{Z}_2, \ \text{and} \\ \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2. \end{array}$ 

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Approach will be similar but a lot more groups to consider.

## And now onto $TSG_+(K_{4r+3})$

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#### Why $K_{4r+3}$ ?

#### Let's look at our favourite $K_{4r+3}$ graph, $K_{15}$ .

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The Complete Graph theorem tells us  $\text{TSG}_+(\Gamma)$  is a subgroup of  $S_{15}$  which is either cyclic, dihedral,  $A_4$ ,  $S_4$  or  $A_5$  or a subgroup of  $D_m \times D_m$ .

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That's a lot less work than sorting through 1,307,674,368,000 elements of  $S_{15}$  looking for possible subgroups.

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...but there are still quite a few possibilities left to check. In particular ALL the subgroups of  $D_m \times D_m$ .

## The beginning of a new theorem

#### Lemma (Flapan 1995)

If  $\phi$  is an order 2 automorphism induced by an orientation preserving homeomorphism of  $K_n$  in  $S^3$ , then all cycles of  $\phi$  are of order 2 and there are at most 2 fixed vertices.

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We use the above lemma to prove the following key lemma.

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We use the above lemma to prove the following key lemma.

#### No $D_2$ Lemma

There is no embedding  $\Gamma$  of  $K_{4r+3}$  in  $S^3$  such that  $D_2 \leq TSG_+(\Gamma)$ .

### Implications of No $D_2$

#### Why is the No $D_2$ Lemma significant?

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#### Why is the No $D_2$ Lemma significant?

 $A_4$ ,  $S_4$ ,  $A_5$ , and  $D_m \times D_m$  all have  $D_2$  as a subgroup.

Proving the No  $D_2$  Lemma significantly reduces the possibilities from the Complete Graph Theorem.

### Summary of Proof Story

In our research we were able to prove a number of lemmas to greatly reduce the number of possibilities for  $TSG_+(K_{4r+3})$ . Thus obtaining the forward direction of our theorem which determines which groups are "candidates" for  $TSG_+(K_{4r+3})$ .

In our research we were able to prove a number of lemmas to greatly reduce the number of possibilities for  $\text{TSG}_+(K_{4r+3})$ . Thus obtaining the forward direction of our theorem which determines which groups are "candidates" for  $\text{TSG}_+(K_{4r+3})$ .

We then employed tailored versions of the Edge Embedding Lemma[3], the Subgroups Theorem[4] and some creative sketches to prove that each of the above groups ("candidates") actually can occur as  $\mathrm{TSG}_+(\Gamma)$  for some embedding of  $K_{4r+3}$ .

In our research we were able to prove a number of lemmas to greatly reduce the number of possibilities for  $\text{TSG}_+(K_{4r+3})$ . Thus obtaining the forward direction of our theorem which determines which groups are "candidates" for  $\text{TSG}_+(K_{4r+3})$ .

We then employed tailored versions of the Edge Embedding Lemma[3], the Subgroups Theorem[4] and some creative sketches to prove that each of the above groups ("candidates") actually can occur as  $\mathrm{TSG}_+(\Gamma)$  for some embedding of  $K_{4r+3}$ .

Leading to the following "if and only if" Theorem ....

### The Complete Theorem

A complete characterization of exactly which groups occur as  $TSG_+(\Gamma)$  for some embedding  $\Gamma$  of  $K_{4r+3}$ .

A complete characterization of exactly which groups occur as  $TSG_+(\Gamma)$  for some embedding  $\Gamma$  of  $K_{4r+3}$ .

#### Our Theorem

Let n = 4r + 3. A finite group G is  $TSG_+(\Gamma)$  for some embedding  $\Gamma$  of  $K_n$  in  $S^3$  if and only if one of the following holds:

**1** G is  $\mathbb{Z}_2$ 

**2** G is  $D_p$  or  $\mathbb{Z}_p$  for p odd and p|n, p|n-1, p|n-2, or p|n-3.

- **3**  $G = \mathbb{Z}_p \times \mathbb{Z}_q$  where p and q are odd, p|q and
  - If p > 3, then pq|n.
  - If p = 3,  $q \neq 3$ , then pq|n or pq|n-3.
  - If p = q = 3, then pq|n or pq|n 3 or pq|n 6.

## Revisiting the example of $K_{15}$

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#### Our Theorem for n=15

Let  $\Gamma$  be an embedding of  $K_{15}$  in  $S^3$  such that  $G = \text{TSG}_+(\Gamma)$ . Then one of the following holds:

**1** G is  $\mathbb{Z}_2$ 

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#### Our Theorem for n=15

Let  $\Gamma$  be an embedding of  $K_{15}$  in  $S^3$  such that  $G = \text{TSG}_+(\Gamma)$ . Then one of the following holds:

**1** G is  $\mathbb{Z}_2$ 

So  $\mathbb{Z}_2$  is one of the groups.

### Revisiting the example of $K_{15}$

#### Our Theorem contd...

2 G is  $D_p$  or  $\mathbb{Z}_p$  for p odd and p|15, p|14, p|13, or p|12.

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So  $\mathbb{Z}_3, \mathbb{Z}_5, \mathbb{Z}_7, \mathbb{Z}_{13}, \mathbb{Z}_{15}$  are among the groups.

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As well as  $\mathrm{D}_3,\mathrm{D}_5,\mathrm{D}_7,\mathrm{D}_{13},\mathrm{D}_{15}.$ 

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As well as  $D_3, D_5, D_7, D_{13}, D_{15}$ .

These are the only groups which meet criteria 2.
### Our Theorem contd...

**3** 
$$G = \mathbb{Z}_p \times \mathbb{Z}_q$$
 where  $p$  and  $q$  are odd,  $p|q$  and  
(a) If  $p > 3$ , then  $pq|15$ .  
(b) If  $p = 3$ ,  $q \neq 3$ , then  $pq|15$  or  $pq|12$ .  
(c) If  $p = q = 3$ , then  $pq|15$  or  $pq|12$  or  $pq|9$ .

### Our Theorem contd...

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Task 3: Find p and q as defined above.

### Our Theorem contd...

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$$p > 3$$
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(b) If  $p = 3$ ,  $q \neq 3$ , then  $pq|15$  or  $pq|12$ .  
(c) If  $p = q = 3$ , then  $pq|15$  or  $pq|12$  or  $pq|9$ .

Task 3: Find p and q as defined above.

(a) Not possible

### Our Theorem contd...

(a) If 
$$p > 3$$
, then  $pq|15$ .  
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Task 3: Find p and q as defined above.

(a) Not possible

(b) Not possible

### Our Theorem contd...

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Task 3: Find p and q as defined above.

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(c)  $\mathbb{Z}_3\times\mathbb{Z}_3$  is the only group which satisfies this condition.

### Good results

Our theorem tells us that for  $\Gamma$  an embedding of  $K_{15}$  in  $S^3$ ,  $G = \text{TSG}_+(\Gamma)$  must be one of the following:



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#### $\mathbb{Z}_3, \mathbb{Z}_5, \mathbb{Z}_7, \mathbb{Z}_{13}, \mathbb{Z}_{15}$

### ${\rm D}_3, {\rm D}_5, {\rm D}_7, {\rm D}_{13}, {\rm D}_{15}$

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An exact list.

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Also in the study of  $TSG(K_n)$  for n < 7, I am using some theorems taken from the Pomona College Math senior thesis of Michael Yoshizawa (University of California at Santa Barbara).

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