Topological Symmetry Groups and Local Knotting

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Symmetries of graphs in S^3

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A symmetry of a graph in S^3 induces an automorphism of the vertices which can represent the symmetry.



A rotation by 90° followed by a reflection induces the automorphism (1234)(56).

An automorphism φ of an abstract graph γ is **realizable** if there is some embedding Γ of γ in S^3 such that a homeomorphism h of (S^3, Γ) induces φ on Γ .

We saw that (1234)(56) is a realizable automorphism of K_6 .

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We saw that (1234)(56) is a realizable automorphism of K_6 .

Theorem [F]

The automorphism (1234) of K_6 is not realizable.

To prove this, we use the following.



For an embedding Γ of K_6 in S^3 , let Ω be the mod 2 sum of the linking numbers of all triangle pairs in Γ :

$$\Omega(\Gamma) = \sum_{A,B \subseteq \Gamma} \operatorname{lk}(A,B) \pmod{2}$$





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$$\Omega(\Gamma) = \sum_{A,B \subseteq \Gamma} \operatorname{lk}(A,B) \pmod{2}$$



Theorem [Conway and Gordon]

For any embedding Γ of K_6 in S^3 , $\Omega(\Gamma) = 1$.

Suppose (1234) is induced by a homeomorphism h of some embedding Γ of K_6 in S^3 .

3 vertices in Γ define a pair of disjoint triangles and hence their linking number.



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The orbits of all 10 pairs of disjoint triangles in Γ under (1234) are:

```
<123,234,341,412> \\<125,235,345,415> \\<135,245>
```

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Since each orbit has an even number of elements,

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Definition

The **topological symmetry group** of a graph Γ embedded in S^3 TSG(Γ) is the subgroup of the automorphism group, Aut(Γ), induced by homeomorphisms of (S^3 , Γ).

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By the above Theorem, for all $n \ge 6$, $TSG(K_n) \ne S_n$.

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$$\mathrm{TSG}(\Gamma) = \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_4$$

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Similarly, any finite abelian group can be $TSG(\Gamma)$.

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Chiral knot \implies there is no orientation reversing homeomorphism.

Any transposition (*ij*) is induced by twisting a pair of strands.

Thus $\operatorname{TSG}(\Gamma) = S_n$.



Can we get the alternating group A_n as $TSG(\Gamma)$?





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Theorem [Flapan, Naimi, Pommersheim, Tamvakis]

 $TSG(\Gamma)$ can be A_n iff $n \leq 5$.

Thus not every finite group can be $TSG(\Gamma)$.



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$\operatorname{Diff}_+(S^3)$

 $\text{TSG}_+(\Gamma)$ is not always induced by a finite subgroup of $\text{Diff}_+(S^3)$ (group of orientation preserving diffeomorphisms of S^3).

Example:



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Not all finite abelian groups are subgroups of $\text{Diff}_+(S^3)$. So $\text{TSG}_+(\Gamma)$ need not even be isomorphic to a subgroup of $\text{Diff}_+(S^3)$.

A graph γ is **3-connected** if at least 3 vertices together with their edges must be removed in order to disconnect γ or reduce it to a single vertex.



Neither of these graphs is 3-connected.

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 $\text{TSG}_+(\Gamma) = <(56)(23), (153426) >= D_6$. But is not induced by a finite group of homeomorphisms.

A more symmetric embedding of Γ



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(56)(23) is induced by turning Γ' over left to right.

(153426) is induced by a glide rotation of Γ' that interchanges the inner and outer circles while rotating counterclockwise.

 ${\it D}_6={\rm TSG}_+(\Gamma')$ is induced by an isomorphic finite subgroup of ${\rm Diff}_+(S^3).$

The theorem below shows that all 3-connected graphs have symmetric embeddings like the above example.

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Theorem [Flapan, Naimi, Pommersheim, Tamvakis]

- Any 3-connected graph Γ embedded in S^3 can be re-embedded as Γ' so that $\mathrm{TSG}_+(\Gamma) \leq \mathrm{TSG}_+(\Gamma')$ and $\mathrm{TSG}_+(\Gamma')$ is induced by an isomorphic finite subgroup of SO(4).
- A finite group G is isomorphic to TSG₊(Γ) for some 3-connected embedded graph Γ iff G is isomorphic to a finite subgroup of SO(4).

SO(4) = the group of orientation preserving isometries of S^3 .

Recall:



But there is no embedding Γ' with $TSG_{+}(\Gamma') = A_n$

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What if Γ is 3-connected?

Example:

$$\text{TSG}_{+}(\Gamma) = \langle (153426), (12)(45) \rangle = D_{6}$$



Is every subgroup $H \leq D_6$ realizable by some embedding Γ' ? To answer this we use local knotting.

Splitting Spheres

Schubert proved that every knot can be uniquely factored into prime knots.

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However, the splitting spheres are not necessarily unique up to an isotopy fixing the knot or graph.



F is not isotopic to T_1 or T_2

Definition

Let Γ be a 3-connected embedded graph. A ball meeting Γ in an arc containing all of the local knots of an edge *e* is an *unknotting* ball for *e*.



Uniqueness of Unknotting Balls [Flapan, Mellor, Naimi]

Let Γ be a 3-connected embedded graph. Then every locally knotted edge has an unknotting ball, and this ball is unique up to an isotopy of (S^3, Γ) fixing every vertex of Γ .



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Proof uses JSJ characteristic decomposition of $S^3 - N(\Gamma)$

Knot Addition Lemma [Flapan, Mellor, Naimi]

Let *e* be an edge of a 3-connected embedded graph Γ and $H \leq \mathrm{TSG}_+(\Gamma)$. Let *K* be a prime knot not in Γ which is invertible iff *e* is inverted by an element of *H*. Then *K* can be added to the edges in $\langle e \rangle_H$ to obtain Γ' such that $H \leq \mathrm{TSG}_+(\Gamma') \leq \mathrm{TSG}_+(\Gamma)$ and *e* is inverted by an element of $\mathrm{TSG}_+(\Gamma')$ iff *e* is inverted by an element of H.

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Example:

$$\text{TSG}_{+}(\Gamma) = \langle (153426), (12)(45) \rangle = D_{6}$$



Let
$$e = \overline{14}$$
 and $H = \langle (123) \rangle = \mathbb{Z}_3$. Then $\langle e \rangle_H = \{\overline{14}, \overline{25}, \overline{36}\}$.

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To prove $\text{TSG}_+(\Gamma') \leq \text{TSG}_+(\Gamma)$, we start with $g': (S^3, \Gamma') \to (S^3, \Gamma')$ inducing some $\varphi \in \text{TSG}_+(\Gamma')$.

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By Uniqueness of Unknotting balls, we can assume g' leaves the set of unknotting balls for Γ' setwise invariant.

Then define $g : (S^3, \Gamma) \to (S^3, \Gamma)$ as g' outside of unknotting balls, and extend to Γ within these balls.

Example

For a given $H \leq TSG_+(\Gamma)$, can we re-embed Γ as Γ' such that $TSG_+(\Gamma') = H$?



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Example

By Knot Addition Lemma, we get

$$\mathbb{Z}_3 = H \leq \mathrm{TSG}_+(\Gamma') = D_3 \leq \mathrm{TSG}_+(\Gamma) = D_6$$

But we don't get $TSG_+(\Gamma') = H$.

Subgroup Theorem [Flapan, Mellor, Naimi]

Let Γ be a 3-connected graph embedded in S^3 with an edge e that is not pointwise fixed by any non-trivial element of $\mathrm{TSG}_+(\Gamma)$. Then for every $H \leq \mathrm{TSG}_+(\Gamma)$, there is an embedding Γ' of Γ with $H = \mathrm{TSG}_+(\Gamma')$.

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Example:



e is not pointwise fixed by any non-trivial element of $\mathrm{TSG}_+(\Gamma)$. Thus by Subgroup Theorem, for every $H \leq D_6$, there is some embedding Γ' of Γ with $\mathrm{TSG}_+(\Gamma') = H$. We use the Subgroup Theorem to study topological symmetry groups of complete graphs.

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Complete Graph Theorem [Flapan, Naimi, Tamvakis (2006)]

A finite group *H* is isomorphic to $\text{TSG}_+(\Gamma)$ for some embedding Γ of a complete graph in S^3 if and only if *H* is a finite cyclic group, a dihedral group, a subgroup of $D_m \times D_m$ for some odd *m*, or A_4 , S_4 , or A_5 .

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However, for a given *n*, this theorem does not tell us the groups that can occur as $TSG_+(\Gamma)$ for some embedding Γ of K_n .

Subgroups of topological symmetry groups of K_n

We use the Subgroup Theorem to prove:

Theorem [Flapan, Mellor, Naimi]

Let n > 6 and let Γ be an embedding of K_n in S^3 such that $\mathrm{TSG}_+(\Gamma)$ is a finite cyclic group, a dihedral group, or a subgroup of $D_m \times D_m$ for some odd m. Then for every $H \leq \mathrm{TSG}_+(\Gamma)$, there is an embedding Γ' of K_n such that $H = \mathrm{TSG}_+(\Gamma')$.

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$$TSG_{+}(\Gamma) = D_{7}$$

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By above theorem, there is an embedding Γ' with $\mathrm{TSG}_+(\Gamma')=\mathbb{Z}_7.$

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Then $H \leq \text{TSG}_+(\Gamma') \leq \text{TSG}_+(\Gamma)$ and e' is inverted by an element of $\text{TSG}_+(\Gamma')$ iff e is inverted by an element of H.

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Then $H \leq \text{TSG}_+(\Gamma') \leq \text{TSG}_+(\Gamma)$ and e' is inverted by an element of $\text{TSG}_+(\Gamma')$ iff e is inverted by an element of H.

To show $\mathrm{TSG}_+(\Gamma') \leq H$, let $\varphi \in \mathrm{TSG}_+(\Gamma')$.

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So $\exists h \in H$ such that $h(e') = \varphi(e')$. Thus $h^{-1}\varphi$ setwise fixes e'.
$\varphi \in \mathrm{TSG}_+(\Gamma')$. Want to show $\varphi \in H$.

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If $h^{-1}\varphi$ doesn't invert e', then $g = h^{-1}\varphi \in \mathrm{TSG}_+(\Gamma)$ pointwise fixes e'.

If $h^{-1}\varphi$ inverts e', then e is inverted by some $f \in H$. So $g = fh^{-1}\varphi \in \mathrm{TSG}_+(\Gamma)$ pointwise fixes e'.

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Thus either $\varphi = h \in H$ or $\varphi = f^{-1}h \in H$.

Hence $\varphi \in H$, and therefore $H = \text{TSG}_+(\Gamma')$.