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# Unraveling Tangles

### Catherine Farkas

University of Illinois at Chicago

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Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles

### 1 Background

Almost unknotted graphs

## 2 Ravels

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# 3 Rational Tangles

- Definitions
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- Definitions
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Almost	Unknotte	d Graphs		
Definit	ion			

A graph embedded in  $S^3$  is almost unknotted if it is non-planar,

but every proper subgraph is planar.

Background ●○○○	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
Almost Ui	nknotte	d Graphs		

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• Suzuki [8] gave the first example of an almost unknotted graph



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Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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Almost	Unknotte	$d \theta$ curves		

• Suzuki [9] generalized Kinoshita's example to a family of almost unknotted  $\theta_n$  graphs for every n.



 Scharlemann [7] and Livingston [5] each reproved Suzuki's result using topological and geometric arguments; McAtee, Silver, and Williams [6] reproved the result more recently using graph colorings



- Walcott [11] showed that Kinoshita's  $\theta_3$  curve is chiral (not isotopic to its mirror image)
- Ushijima [10] showed that all of Suzuki's  $\theta_n$  curves are chiral
- Hara [3] found two families of locally unknotted (no edge contains a knot) θ<sub>4</sub> curves where all the θ<sub>4</sub> curves in one family are chiral and all the curves in the other are achiral



 Hara's graphs are locally unknotted but not all are almost unknotted





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### Hara's test for planarity

Let  $\Gamma$  be a  $\theta_4$  graph. Then  $\Gamma$  is planar if and only if replacing each vertex of  $\Gamma$  by any rational tangle results in a knot or link whose bridge index is no greater than 2.

Background	Ravels ●○○	Rational Tangles	Montesinos Tangles	Algebraic Tangles
What is a	ravel?			

- Molecular knots and links have been chemically synthesized: proteins, organometallic compounds, DNA
- Chemists are interested in such molecules because topological characteristics can have an effect on molecular and biological behavior
- Recently, chemists became interested in the structure of an "*n-ravel*", which they defined as "an entanglement of *n* edges around a vertex that contains no knots or links" [2]



Catherine Farkas Unraveling Tangles

Background	Ravels ○●○	Rational Tangles	Montesinos Tangles	Algebraic Tangles
What is a	Ravel?			

### Mathematical Definition

An *n*-ravel is an embedded  $\theta_n$  graph that:

- (i) contains no nontrivial knots
- (ii) cannot be deformed to a planar graph

Background	Ravels ○●○	Rational Tangles	Montesinos Tangles	Algebraic Tangles
What is a	a Ravel?			

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Background	Ravels ○○●	Rational Tangles	Montesinos Tangles	Algebraic Tangles
Questior	n of inter	est		

Recall:

### Hara's test for planarity

Let  $\Gamma$  be a  $\theta_4$  graph. Then  $\Gamma$  is planar if and only if replacing each vertex of  $\Gamma$  by a rational tangle results in a knot or link whose bridge index is no greater than 2.

- By contrast, we begin with a projection of an algebraic tangle and replace a crossing with a vertex. We bring the vertices together in the boundary of the tangle ball. Can the resulting tangle be a 4-ravel?
- If a  $\theta_4$  graph is not a ravel then it is also not almost unknotted.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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Dational	Tanglas			

A 2-string tangle is called rational if it can be deformed to a trivial tangle when the endpoints are free to move within the boundary of the tangle ball.

Background	Ravels	Rational Tangles ●○○○○○○○	Montesinos Tangles	Algebraic Tangles
Rational 7	angles			

A 2-string tangle is called rational if it can be deformed to a trivial tangle when the endpoints are free to move within the boundary of the tangle ball.

### Example



Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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Dational	Tanglas			

A 2-string tangle is called rational if it can be deformed to a trivial tangle when the endpoints are free to move within the boundary of the tangle ball.

Example



Nonexample





The denominator closure and vertex closure of a tangle are defined as shown below, where the blue arcs are in the boundary of the ball:



Denominator closure





• Alternating, 3-braid representation where each A<sub>i</sub> represents a row of twists and the crossings alternate from one row to the next



Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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Statement	of Theor	rem		

### Rational Tangle Theorem (Farkas, Flapan, Sullivan)

Let A be a projection of a rational 2-string tangle in alternating 3-braid representation, and let A' be obtained by replacing a crossing of A with a vertex. Then the vertex closure of A' is either planar or contains a knot, and therefore is not a 4-ravel.

#### Example:



 Background
 Ravels
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 Algebraic Tangles

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 Outline of Proof of Theorem
 Theorem

### 3 Cases:

- the vertex replaces a crossing in *A<sub>n</sub>*,
- the vertex replaces a crossing in  $A_{n-1}$ ,
- the vertex replaces a crossing anywhere else





## Case 1: The vertex replaces a crossing in $A_n$



- Untwist the crossings in A<sub>1</sub>.
- Once the crossings in A<sub>1</sub> have been removed, we can untwist the crossings in A<sub>2</sub>.
- Continue this process until all crossings have been removed. Thus, the graph is planar.



 If A<sub>n</sub> has only one crossing, then we can deform the graph to a plane using a similar technique to that used in Case 1.





 If A<sub>n</sub> has only one crossing, then we can deform the graph to a plane using a similar technique to that used in Case 1.



 We show that if A<sub>n</sub> has more than one crossing, then either the graph is not a θ<sub>4</sub> graph or it contains a knot.





Consider the subtangle of A containing  $A_n, A_{n-1}, \ldots, A_{i+1}$  where the vertex v is in  $A_i$ :

• If the subtangle only has two crossings, then the original graph is not a  $\theta_4$  graph, and therefore is not a ravel.





Consider the subtangle of A containing  $A_n, A_{n-1}, \ldots, A_{i+1}$  where the vertex v is in  $A_i$ :

• If the subtangle only has two crossings, then the original graph is not a  $\theta_4$  graph, and therefore is not a ravel.



 If the subtangle has three or more crossings and is a θ<sub>4</sub> graph, then the graph contains a knot and is not a ravel.







Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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A projection of a Montesinos tangle A is said to be in reduced form if it is written as a sum of a minimal number of rational tangles (over all possible projections).

Background	Ravels	Rational Tangles	Montesinos Tangles ○○●○○○	Algebraic Tangles
Theorem	for Monte	sinos Tangles		

#### Montesinos Tangle Theorem (Farkas, Flapan, Sullivan)

Given a projection A of a Montesinos tangle  $A = A_1 + A_2 + \cdots + A_n$  in reduced form where each  $A_i$  is a rational tangle and A itself is not rational, let A' be obtained by replacing a crossing of A with a vertex. If the vertex closure of A'is a  $\theta_{4}$  graph, then it contains a knot and therefore is not a ravel.



Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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**Note:** If the vertex replaces a crossing in  $A_i$  and the vertex closure of A' is a  $\theta_4$  graph, then the denominator closure of  $A_k$  is a (possibly trivial) knot for all  $k \neq i$ .



The vertex closure of A'

That is, the denominator closure of each  $A_k$  is forced to have only one component.

Background	Ravels	Rational Tangles	Montesinos Tangles ○○○○●○	Algebraic Tangles
Outline of	Proof			

Suppose that for some k the denominator closure of  $A_k$  is a trivial knot.



Then, A is not in reduced form, contrary to our hypothesis.

Background	Ravels	Rational Tangles	Montesinos Tangles ○○○○○●	Algebraic Tangles
Outline of	proof			

Therefore, the denominator closure of every  $A_k$  is a nontrivial knot.

In this case, we can show the vertex closure of A' contains a knot and therefore is not a ravel.



Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
Definition				
			Example:	
Tangles	s can be mu T S $T \times S$	ltiplied:	Contraction (C)	324
Definition	1			
Given rati	ional tangle	5	602	

tangle is any tangle obtained by adding and multiplying  $A_1, A_2, \ldots, A_n$ .

 $A_1, A_2, \ldots, A_n$ , an algebraic

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles ●○○○○○○○○
Definitic	on			
			Example:	
Tang	les can be n T S $T \times S$	nultiplied:	NW A <sub>1</sub> A <sub>2</sub> A <sub>1</sub> A <sub>4</sub> A <sub>5</sub>	NE
Definition	on			V
Given ra $A_1, A_2,$ tangle is by addin $A_1, A_2,$	ational tang $\ldots, A_n$ , and s any tangle ng and mult $\ldots, A_n$ .	les algebraic e obtained iplying	sw $[(A_1 + A_2 + A_3) \times A_6] + A_7 + A_6$	$SE = A_4 \times A_5 \times A_8 + A_9$

Backg	round	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles ○●○○○○○○○
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Backgrou	und	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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• If an algebraic tangle is in reduced form, then we cannot combine subtangles to form a rational tangle.

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Definit	ion			
A proj	ection of an	algebraic tangle is	in <i>reduced form</i> if i	t is
and a	minimal nun	nber of parenthese	s (over all possible p	projections

- If an algebraic tangle is in reduced form, then we cannot combine subtangles to form a rational tangle.
- *Ex.* A tangle containing this  $A_i + A_{i+1}$  is not in reduced form.



Background	RavelsRational TanglesMontesinos TanglesAlgeb0000000000000000000000000			
Definit	ion			
A proj	ection of an	algebraic tangle is	in reduced form if i	t is
express	sed with a m	ninimal number of	rational tangles $A_1$ ,	, A <sub>n</sub>
and a	minimal nun	nber of parenthese	s (over all possible p	projections
of the	tangle)			

- If an algebraic tangle is in reduced form, then we cannot combine subtangles to form a rational tangle.
- *Ex.* A tangle containing this  $A_i + A_{i+1}$  is not in reduced form.



•  $((A_1 + A_2) + (A_3 \times A_4)) \times A_5$  is not in reduced form since we can rewrite it as  $(A_1 + A_2 + (A_3 \times A_4)) \times A_5$ .

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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Let A be a projection of an algebraic tangle in reduced form containing:  $(R + (Q \times P))$ ,  $((Q \times P) + R)$ ,  $(R \times (Q + P))$ , or  $((Q + P) \times R)$ , where R and at least one of P or Q is rational. Then we say A contains a *bad triangle*.



Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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Theorer	n for Alge	braic Tangles		

### Theorem (Farkas, Flapan, Sullivan)

Let A be a projection of a nonrational algebraic tangle in reduced form that does not contain any bad triangles. Let A' be obtained by replacing a crossing of A by a vertex. If the vertex closure A' is a  $\theta_4$  graph, then A' contains a knot and hence is not a ravel.

Here is a counterexample when A contains a bad triangle:



Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
Countere				



•  $\theta_4$  graph that contains no knots, but is non-planar, so it is a ravel.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
Countere	example v	with Bad Triar	ngle	



- $\theta_4$  graph that contains no knots, but is non-planar, so it is a ravel.
- Since it contains a non-planar  $\theta_3$ , it is not almost unknotted.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles ○○○○○●○○○○
Outline of	Proof			

Proof of Theorem is by induction on the number n of rational tangles in A. Recall that A is written with a minimal number of rational tangles.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles ○○○○○●○○○○
Outline of	Proof			

Proof of Theorem is by induction on the number n of rational tangles in A. Recall that A is written with a minimal number of rational tangles.

Base Case:

- Since A is not rational,  $n \neq 1$ .
- Suppose n = 2. Then,  $A = A_1 + A_2$  or  $A = A_1 \times A_2$  for some rational tangles  $A_1$  and  $A_2$ .

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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Outline	of Droof			

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- $A_1 \times A_2$  can be expressed as a sum of two tangles:



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- $A_1 \times A_2$  can be expressed as a sum of two tangles:



• Since we have already proved the result for Montesinos tangles, this completes the base case.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
Outline of	Proof			

Inductive Step:

Either A = C<sub>1</sub> + · · · + C<sub>m</sub> or A = C<sub>1</sub> × · · · × C<sub>m</sub> for some m ≤ n, where each C<sub>i</sub> is an algebraic tangle satisfying the hypotheses of the theorem.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles ○○○○○●○○○
Outline of	Proof			

Inductive Step:

- Either  $A = C_1 + \cdots + C_m$  or  $A = C_1 \times \cdots \times C_m$  for some  $m \le n$ , where each  $C_i$  is an algebraic tangle satisfying the hypotheses of the theorem.
- Without loss of generality,  $A = C_1 + \cdots + C_m$  and the vertex replaces a crossing in  $C = C_1 + \cdots + C_{m-1}$ . Let  $D = C_m$ .

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles ○○○○○●○○○
Outline of	Proof			

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- Without loss of generality,  $A = C_1 + \cdots + C_m$  and the vertex replaces a crossing in  $C = C_1 + \cdots + C_{m-1}$ . Let  $D = C_m$ .

### Case 1: C is not rational.

If C is not rational then, by the inductive hypothesis, the vertex closure of C' contains a knot. It follows that the vertex closure of A' will contain a knot.



Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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• If both *C* and *D* are rational, then we are in the base case. So, we assume that *D* is not rational.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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- If both *C* and *D* are rational, then we are in the base case. So, we assume that *D* is not rational.
- Since A is in reduced form, D = P<sub>1</sub> × ··· × P<sub>r</sub> for some r ≥ 2 where all P<sub>i</sub> are algebraic tangles. So A = C + (P<sub>1</sub> × ··· × P<sub>r</sub>).

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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- If both C and D are rational, then we are in the base case. So, we assume that D is not rational.
- Since A is in reduced form, D = P<sub>1</sub> × ··· × P<sub>r</sub> for some r ≥ 2 where all P<sub>i</sub> are algebraic tangles. So A = C + (P<sub>1</sub> × ··· × P<sub>r</sub>).
- Since A contains no bad triangles, either r > 2 or  $D = P_1 \times P_2$  where neither  $P_1$  nor  $P_2$  is rational.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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- Since A contains no bad triangles, either r > 2 or  $D = P_1 \times P_2$  where neither  $P_1$  nor  $P_2$  is rational.

In either case, the denominator closure of D is a non-trivial knot by Bonahon and Siebenmann's Classification of Algebraic Knots [1].

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles
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In either case, the denominator closure of D is a non-trivial knot by Bonahon and Siebenmann's Classification of Algebraic Knots [1].



So the vertex closure of A' will also contain a knot.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles ○○○○○○○○●○
Future Re	esearch			

### Recall our counterexample:



• In this example, we see that there is a nonplanar, proper subgraph. Therefore the graph is not almost unknotted.

Background	Ravels	Rational Tangles	Montesinos Tangles	Algebraic Tangles ○○○○○○○○●○
Future Re	esearch			

### Recall our counterexample:



• In this example, we see that there is a nonplanar, proper subgraph. Therefore the graph is not almost unknotted.

If we obtain a 4-ravel from an algebraic tangle by replacing a crossing with a vertex and taking the vertex closure of the resulting graph, can it ever be almost unknotted?

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Ravels

**Rational Tangles** 

Montesinos Tangles

Algebraic Tangles 000000000

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